

Reachability Problems for Hybrid Automata

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based on joint works with

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Content

- ▶ Motivations: reactive embedded and hybrid systems
- ▶ **Classes** of hybrid automata
- ▶ **Symbolic semi**-algorithm for reachability
- ▶ Reachability problem: **decidability** frontier
- ▶ **Approximate** reachability
- ▶ **Time-bounded** reachability

Reactive and hybrid systems

Reactive systems maintain a continuous interaction with their environment

- ▶ **non-terminating**
 - ▶ respect/enforce **real-time properties**
 - ▶ cope with **concurrency**
 - ▶ embedded in complex-**continuous**-critical env
- difficult to develop correctly

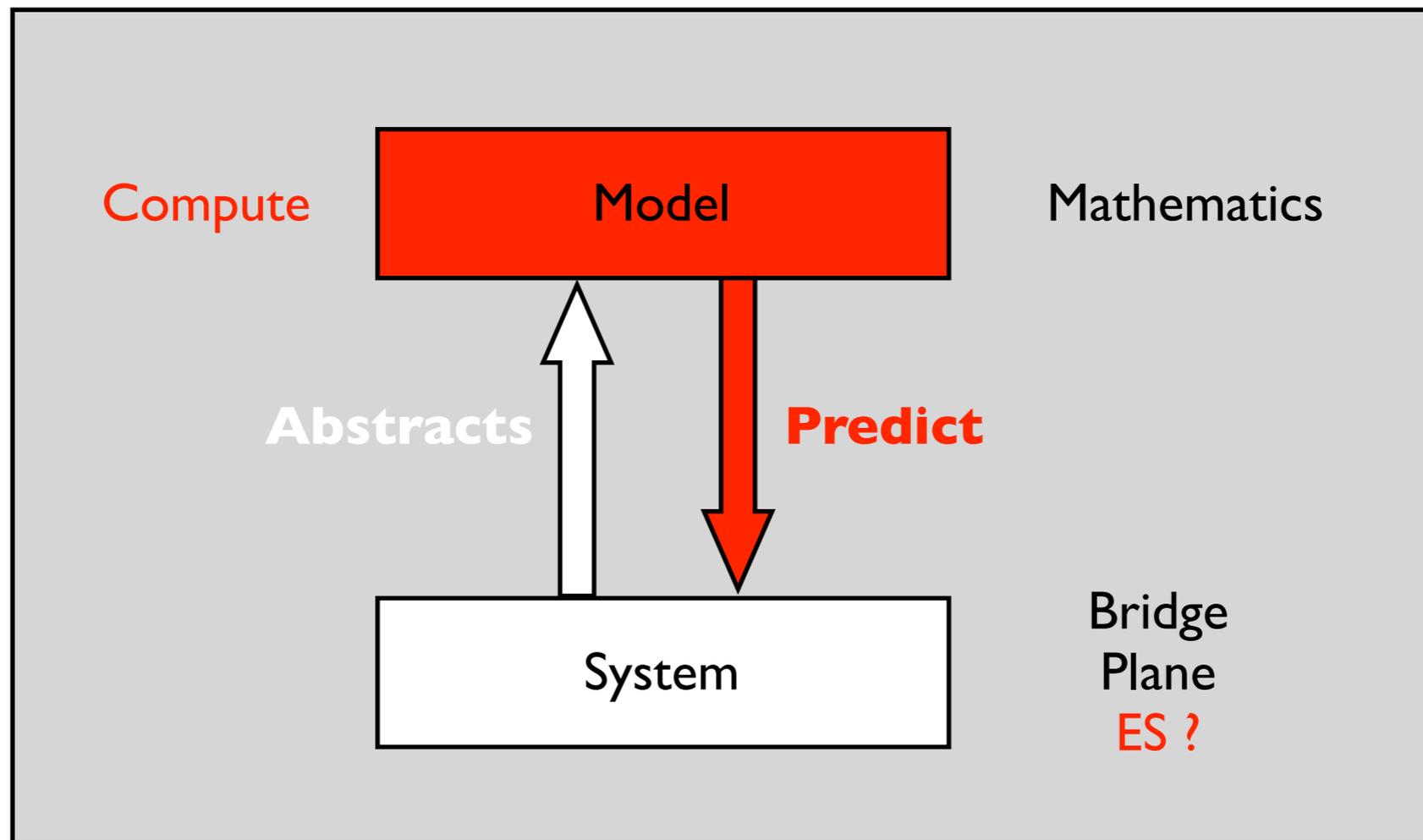
300 horses power
100 processors





Is the software correct ?

How to cope with complexity



Hybrid automata

Mixing discrete-continuous evolutions

- ▶ **Finite state automata** to model (discrete) **reactive systems**
- ▶ **Differential equations** to model **continuous environments**
- ▶ **Hybrid automata**: combine the two
 - ▶ finite automata + continuous variables
 - ▶ discrete transitions + differential equations

Example

- ▶ Three environment **components**:
 - A tank containing water;
 - A gas burner that can be turn on or off;
 - A digital thermometer that monitors the temperature within the tank.
- and a **controller**
- ▶ We want to design a controller strategy that maintains the temperature **within an interval of safe temperatures**.

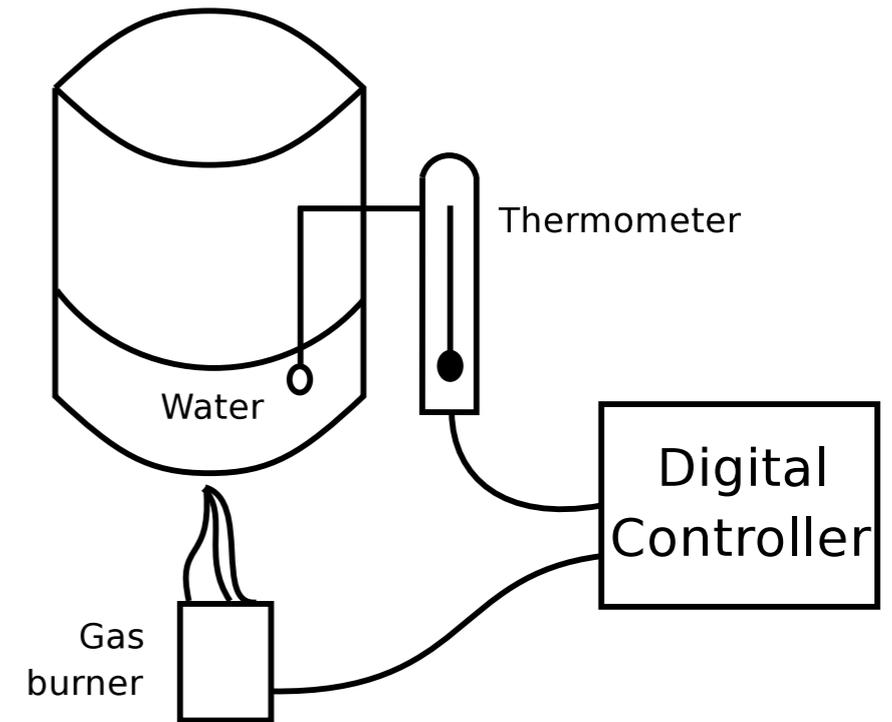


Fig. 1. Our running example

Continuous part

- ▶ Behavior of the temperature in the tank

- Mode **OFF**: $\mathbf{x}(t) = \mathbf{l} e^{-\mathbf{K}t}$, i.e. $\dot{x} = -Kx$

- Mode **ON**: $\mathbf{x}(t) = \mathbf{l} e^{-\mathbf{K}t} + \mathbf{h} (1 - e^{-\mathbf{K}t})$, i.e. $\dot{x} = K(h-x)$

- \mathbf{l} =initial temperature of the water

- \mathbf{K} =constant (nature of the tank)

- \mathbf{h} =constant (power gas burner)

- \mathbf{t} =time.

- ▶ **ON** and **OFF**=modes of the tank evolution

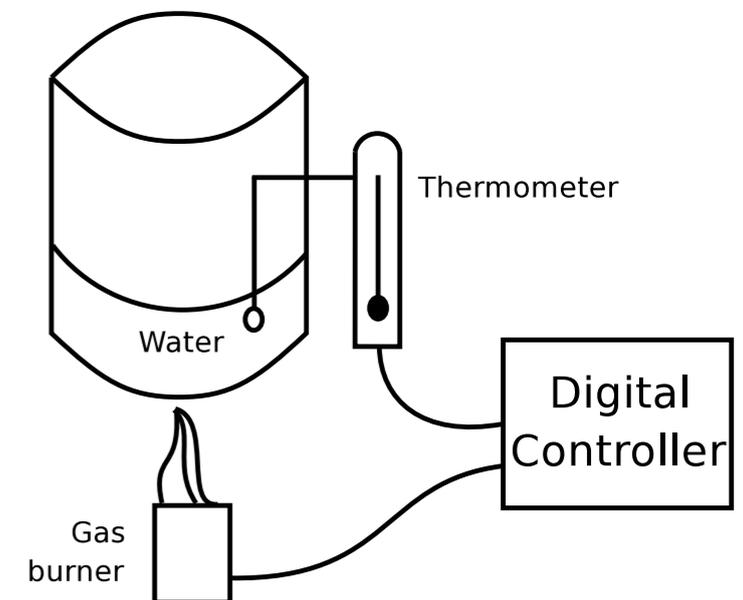


Fig. 1. Our running example

Evolution of the temperature

● Mode changes

— Continuous Evolutions

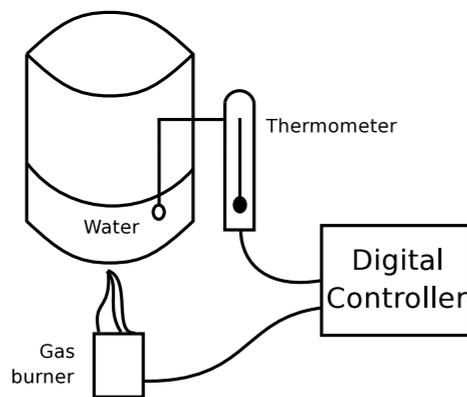


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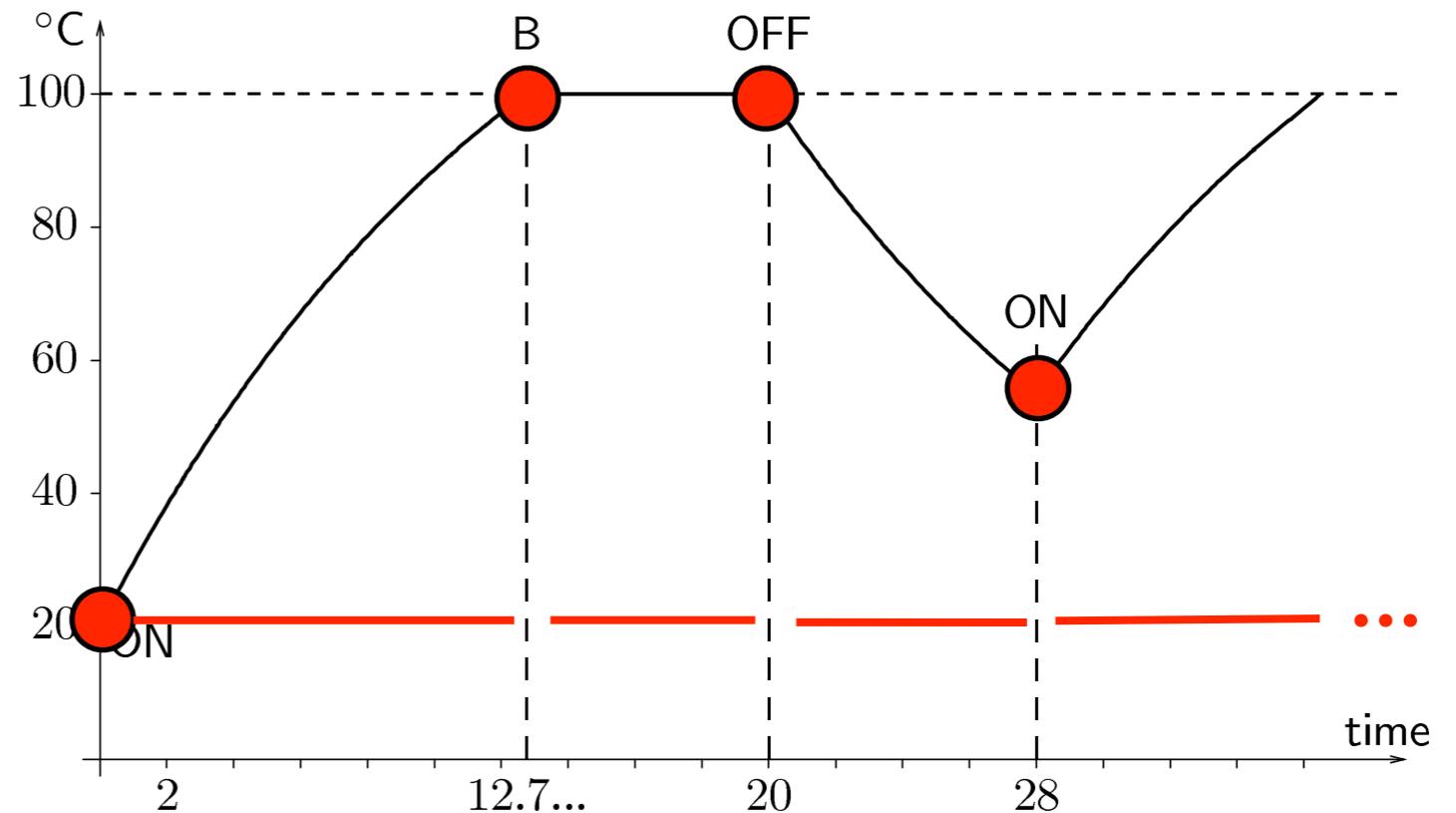
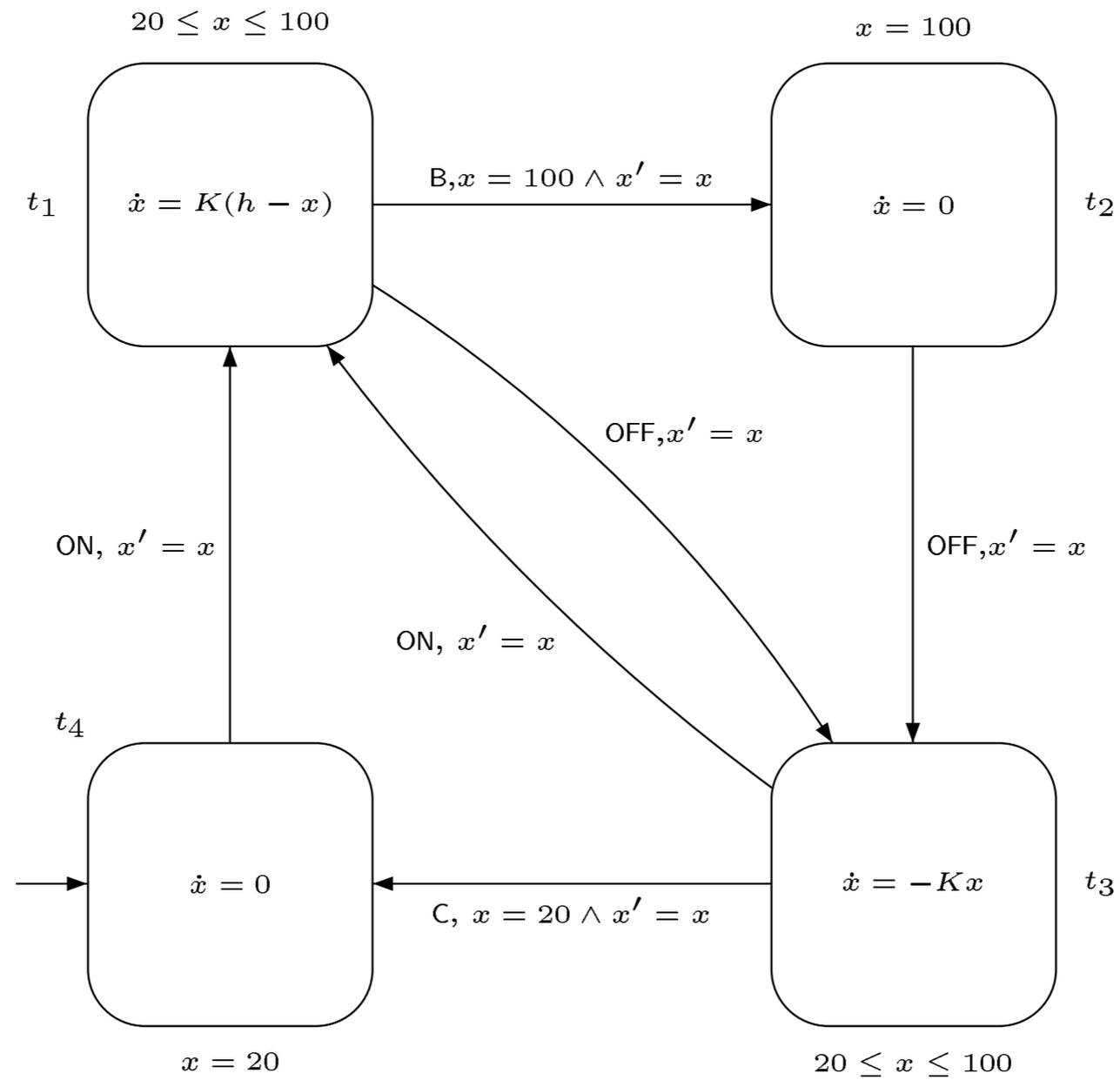


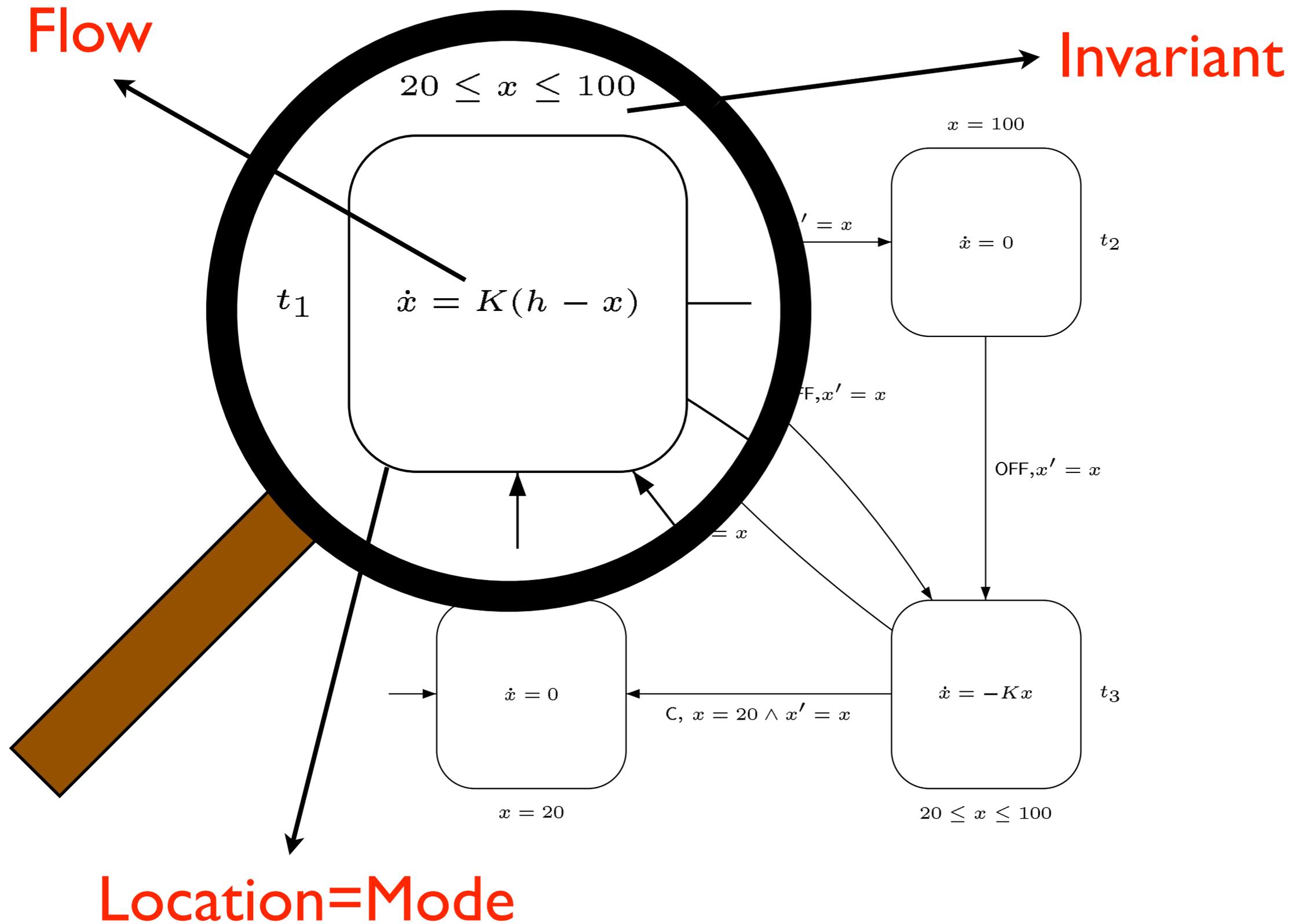
Fig. 2. One possible behavior of the tank

Evolution of the temp. is **not purely continuous**. It depends on the mode **ON** and **OFF** for example, and that it is below 100° or not.

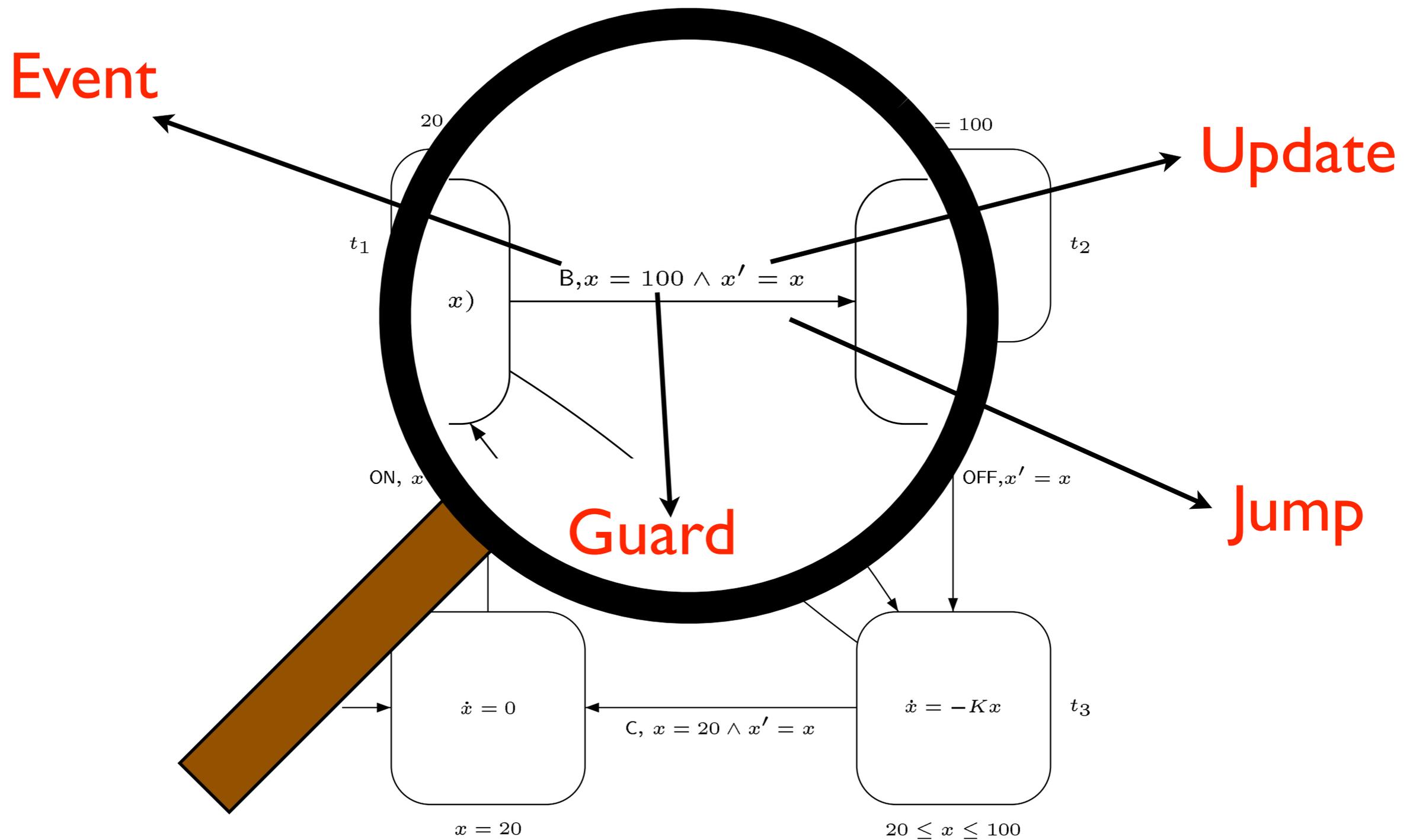
An HA for the tank



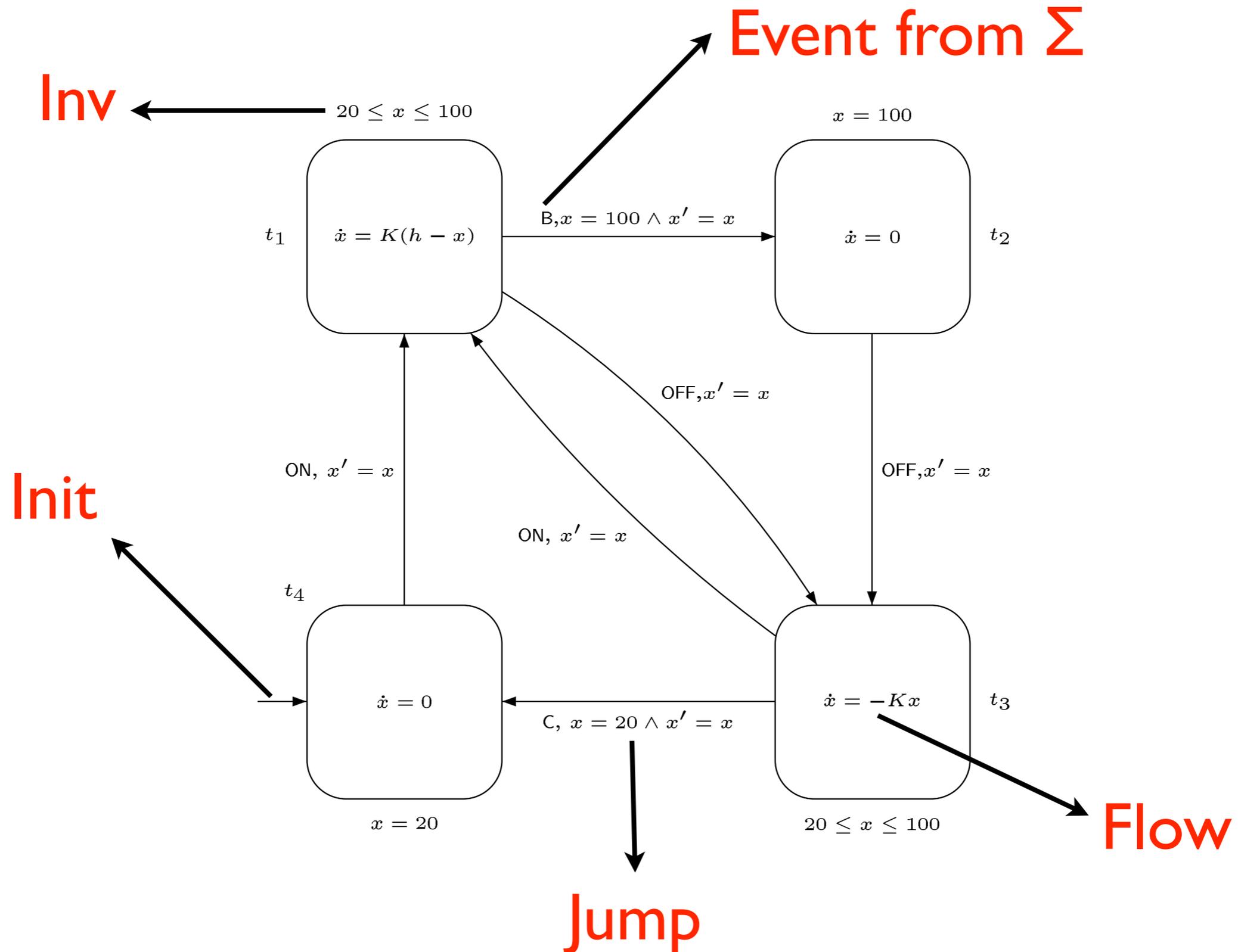
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An HA for the tank



Hybrid automata - Syntax

Definition

$H = (\text{Loc}, \Sigma, \text{Edge}, X, \text{Init}, \text{Inv}, \text{Flow}, \text{Jump})$, where:

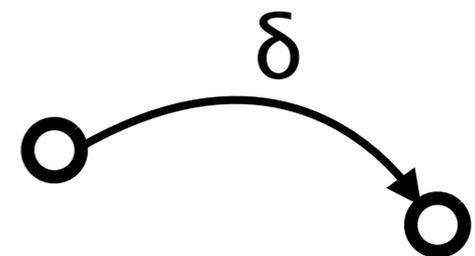
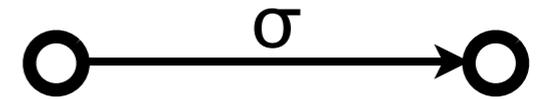
- ▶ **Loc** is a finite set $\{l_1, l_2, \dots, l_n\}$ of (control locations) modeling **control modes**
- ▶ Σ is a finite set of **event names**
- ▶ **Edge** $\subseteq \text{Loc} \times \Sigma \times \text{Loc}$ is a finite set of labelled edges modeling **discrete changes** between control modes
- ▶ **X** is a finite set $\{x_1, x_2, \dots, x_m\}$ of **real-valued variables**.
 - We write $X' = \{x'_1, x'_2, \dots, x'_m\}$ for the dotted variables and
 - $X'' = \{x''_1, x''_2, \dots, x''_m\}$ for the primed variables
- ▶ **Init(X)**, **Inv(X)**, and **Flow(X, X')** are predicates associated to locations
- ▶ **Jump(X, X')** is a function that assigns a predicate to each labelled edge

TTS of a HA

- ▶ Let $H=(\text{Loc},\Sigma,\text{Edge},X,\text{Init},\text{Inv},\text{Flow},\text{Jump})$ be a HA.
- ▶ Its associated **Timed Transition System** $\llbracket H \rrbracket=(S,S_0,\Sigma,\rightarrow)$ is defined as follows:
 - ▶ S is the set of pairs (l,v) where $l \in \text{Loc}$ and $v \in \llbracket \text{Inv}(l) \rrbracket$;
 - ▶ S_0 is the subset of pairs $(l,v) \in S$ such that $v \in \llbracket \text{Init}(l) \rrbracket$;

Timed transition system of a HA

Transition relation



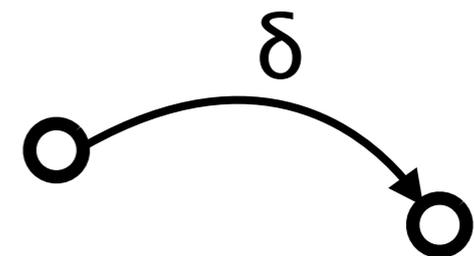
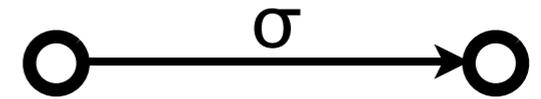
Timed transition system of a HA

Transition relation

► **discrete steps:**

for each edge $e=(l,\sigma,l')\in E$, we have $(l,v)\rightarrow_{\sigma}(l',v')$

if $(l,v)\in S$, $(l',v')\in S$ and $(v,v')\in\llbracket\text{Jump}(e)\rrbracket$;



Timed transition system of a HA

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- ▶ **continuous steps:** for each $\delta\in\mathbb{R}\geq 0$, we have $(l,v)\rightarrow_{\delta}(l',v')$

if $(l,v)\in S$, $(l',v')\in S$, $l=l'$,

and there exists a *differentiable function* $f:[0,\delta]\rightarrow\mathbb{R}^m$,

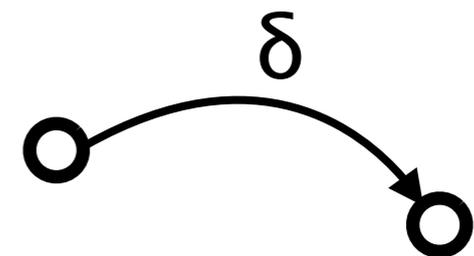
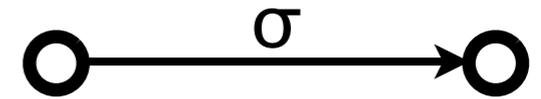
with derivative $f'(0,\delta)\rightarrow\mathbb{R}^m$

such that :

1) $f(0)=v$,

2) $f(\delta)=v'$ and

3) for all $\varepsilon\in(0,\delta)$, both



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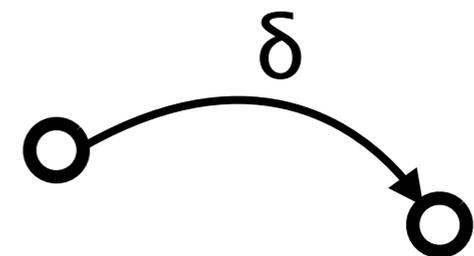
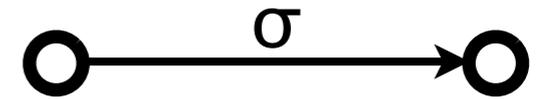
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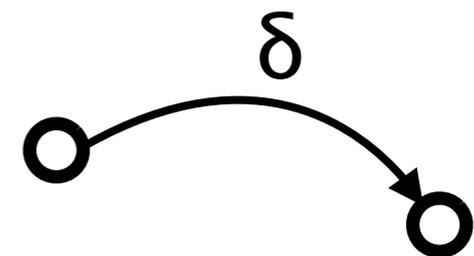
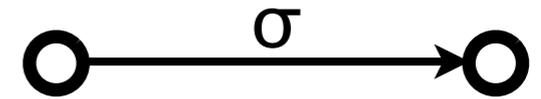
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3) for all $\varepsilon\in(0,\delta)$, both

▶ $f(\varepsilon)\in\llbracket\text{Inv}(l)\rrbracket$ and

▶ $(f(\varepsilon), f'(\varepsilon))\in\llbracket\text{Flow}(l)\rrbracket$.



Reachability

- ▶ Let $\text{Path}_F(S_0)$ = set of finite paths starting from a state in S_0
- ▶ Let $T = (S, S_0, \Sigma, \rightarrow)$ be a TTS
Let $\lambda = s_0 \tau_0 s_1 \tau_1 \dots s_n \in \text{Path}_F(T)$
State(λ) denotes the set of states that appear along λ
- ▶ We say that a path λ **reaches** a state s if $s \in \text{State}(\lambda)$
- ▶ We say that s is **reachable** in T if $s \in \bigcup_{\lambda \in \text{Path}_F(T)} \text{State}(\lambda)$
- ▶ **Reach**(T) denotes the set of states reachable in T

Safety and reachability

- ▶ A set of state $R \subseteq S$ is called a **region**.
- ▶ A region R is **reachable** in T iff $R \cap \text{Reach}(T) \neq \emptyset$.
- ▶ The **reachability problem** associated to a TTS T and a region R asks if $R \cap \text{Reach}(T) \neq \emptyset$.
- ▶ The **safety problem** associated to a TTS T and a region R asks if $\text{Reach}(T) \subseteq R$.
- ▶ Those two problems are **dual** in the following formal sense:

Let R be a region and $R' = S \setminus R$.

$$\text{Reach}(T) \subseteq R \text{ iff } R' \cap \text{Reach}(T) = \emptyset.$$

Classes of Hybrid Automata

Classes of HA

Linear HA

-**Linear** flow constraints:
 $\text{Lin}(X')$, **ex:** $x' = y' + 3$

-**Linear** guards and updates:
 $\text{Lin}(X) \rightarrow \text{Lin}(X, X')$,
ex: $x + y < 1 \rightarrow x' = y + 2$

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Rectangular HA

- **Rectangular** flow constraints:
 $\text{Rect}(X')$, **ex:** $x' \in [1, 2] \wedge y' \in [2, 5]$
- **Rectangular** guards-updates:
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Affine HA

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O-minimal HA

- Use of **O-minimal theory**
- **Strong resets:** all variables are reset during any mode change

Symbolic Semi-Algorithm for RHA/LHA

Effective procedure for Post in RHA

Effective procedure for Post in RHA

- ▶ A **linear term** over X is a linear combination of the variables in X with integer coefficients.

ex : $3x+2y-1$.

- ▶ A **linear formula** over X is a boolean combination of inequalities between linear terms over X .

ex : $3x+2y-1 \geq 0 \wedge y \geq 5$.

- ▶ Given a **linear formula** ψ , we write $\llbracket \psi \rrbracket$ for the set of valuations v such that $v \models \psi$.

Effective procedure for Post in RHA

- ▶ Linear formulas + quantifiers
= $T(\mathbb{R}, 0, 1, +, \leq)$.
= The **theory of reals** with addition.

This theory allows for **quantifier elimination**.

ex : “ $\forall y \cdot y \geq 5 \rightarrow x+y \geq 7$ ” is equivalent to “ $x \geq 2$ ”.

- ▶ A **symbolic region** of H is a finite set

$$\{ (l, \psi_l) \mid l \in \text{Loc} \} \text{ where } \llbracket \psi_l \rrbracket \subseteq \llbracket \text{Inv}(l) \rrbracket.$$

Effective procedure for Post in RHA

Given a location $l \in \text{Loc}$ and a set of valuations $V \subseteq [X \rightarrow \mathbb{R}]$ such that $V \subseteq \text{Inv}(l)$, the **forward time closure**, noted $\langle V \rangle_l^\nearrow$ is the set of valuations that are reachable from some valuation $v \in V$ by **letting time pass**.

This set is defined as follows:

$\langle V \rangle_l^\nearrow$ is the set of valuation $v' \in [X \rightarrow \mathbb{R}]$ such that

$$\exists v \in V \cdot \exists t \in \mathbb{R} \geq 0 \cdot \forall x \in X \cdot$$

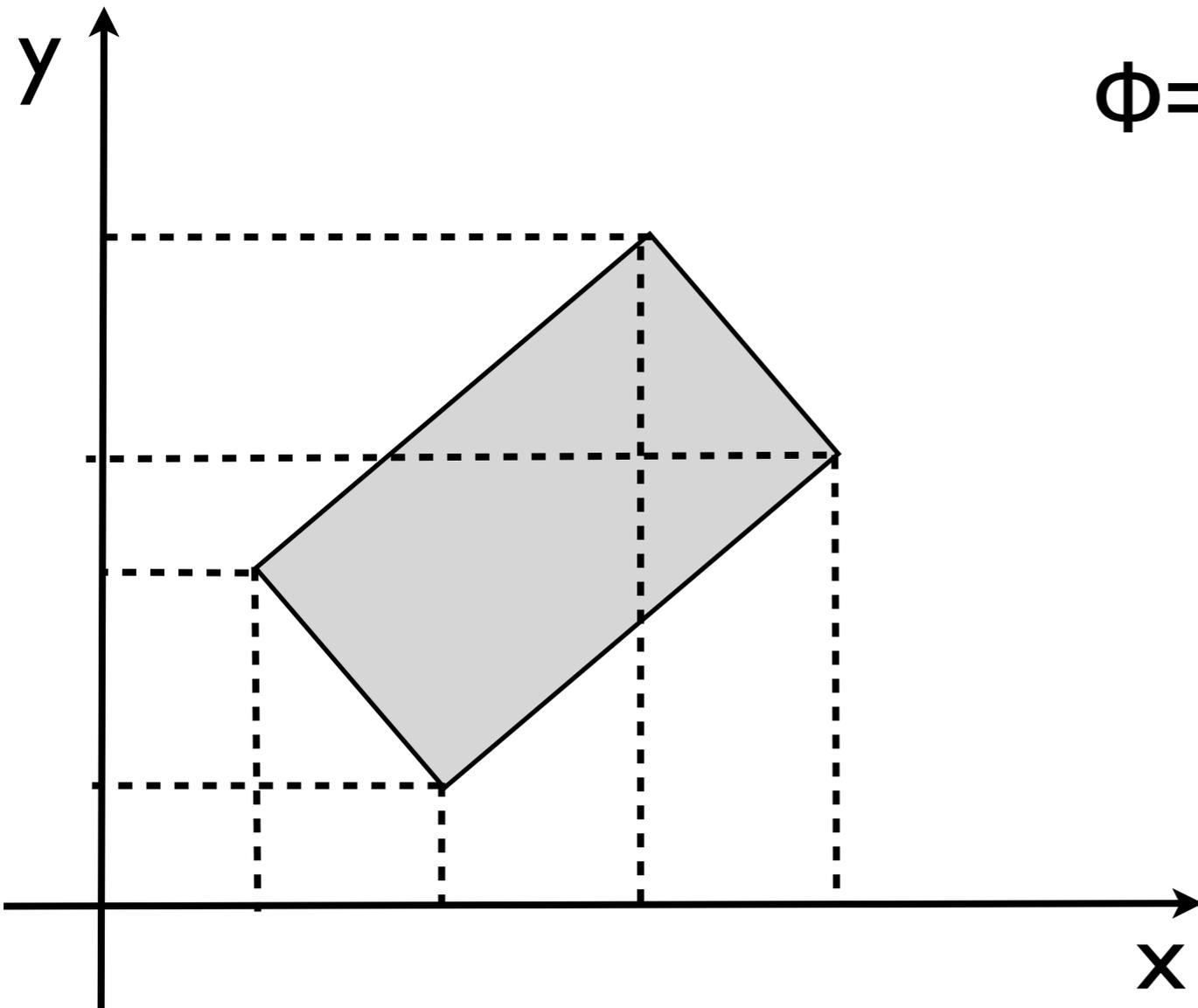
$$v(x) + t \times \mathbf{Inf}(\llbracket \text{Flow}(l) \rrbracket(x)) \leq v'(x) \leq v(x) + t \times \mathbf{Sup}(\llbracket \text{Flow}(l) \rrbracket(x))$$

$$\wedge v'(x) \in \llbracket \text{Inv}(l) \rrbracket.$$

After **quantifier eliminations**, we get a boolean combination of linear constraints.

An example of time elapsing

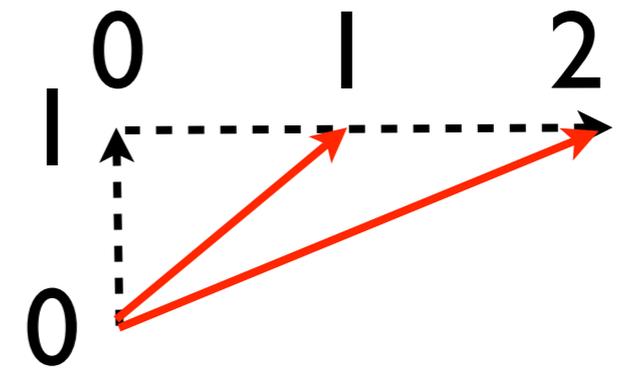
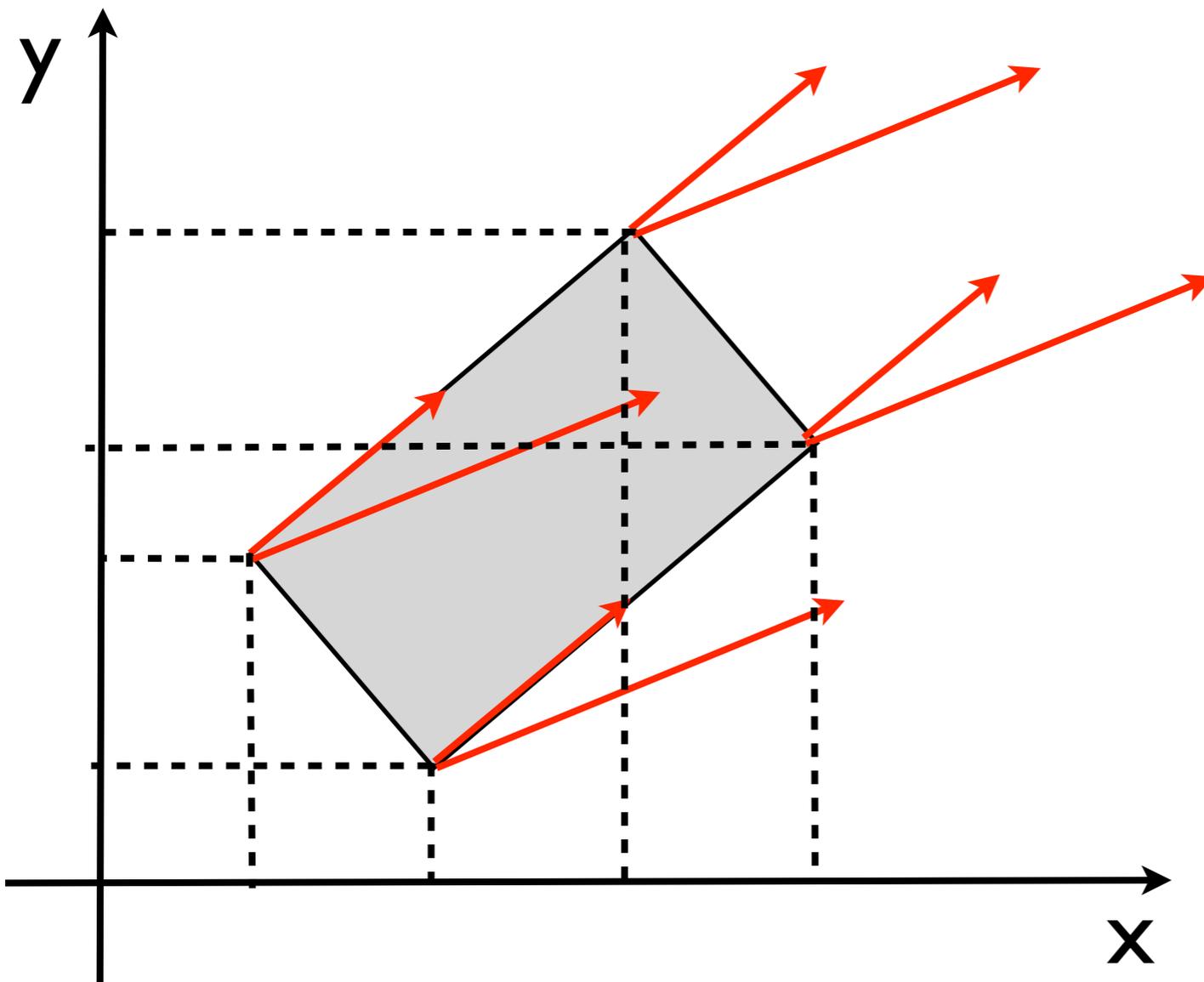
Assume $x^*=[1,2]$ and $y^*=1$



$$\Phi = \{ (x,y) \mid \begin{array}{l} x \in [1,4] \\ \wedge y \in [1,6] \\ \wedge y \geq -2x+5 \wedge \dots \end{array} \}$$

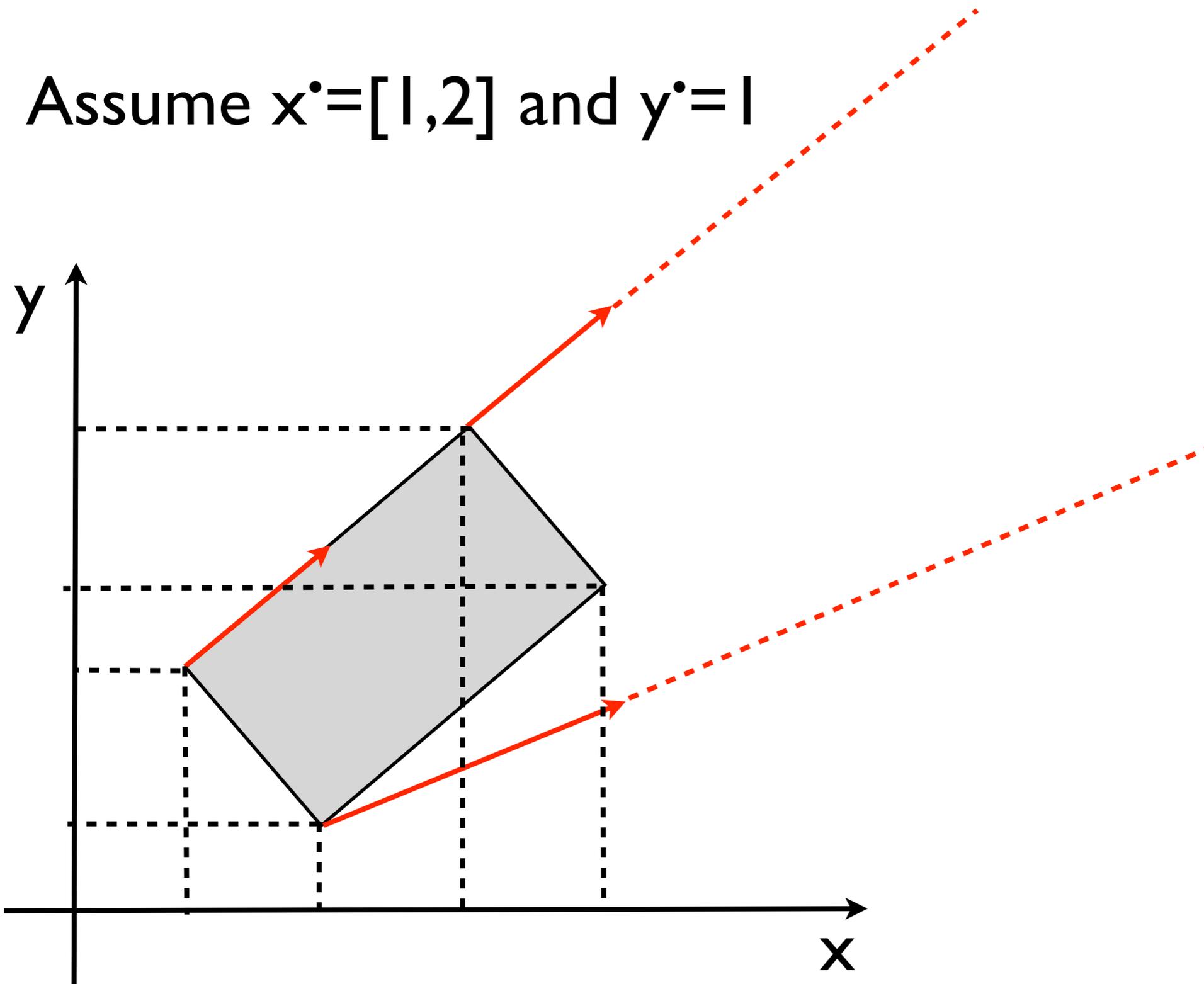
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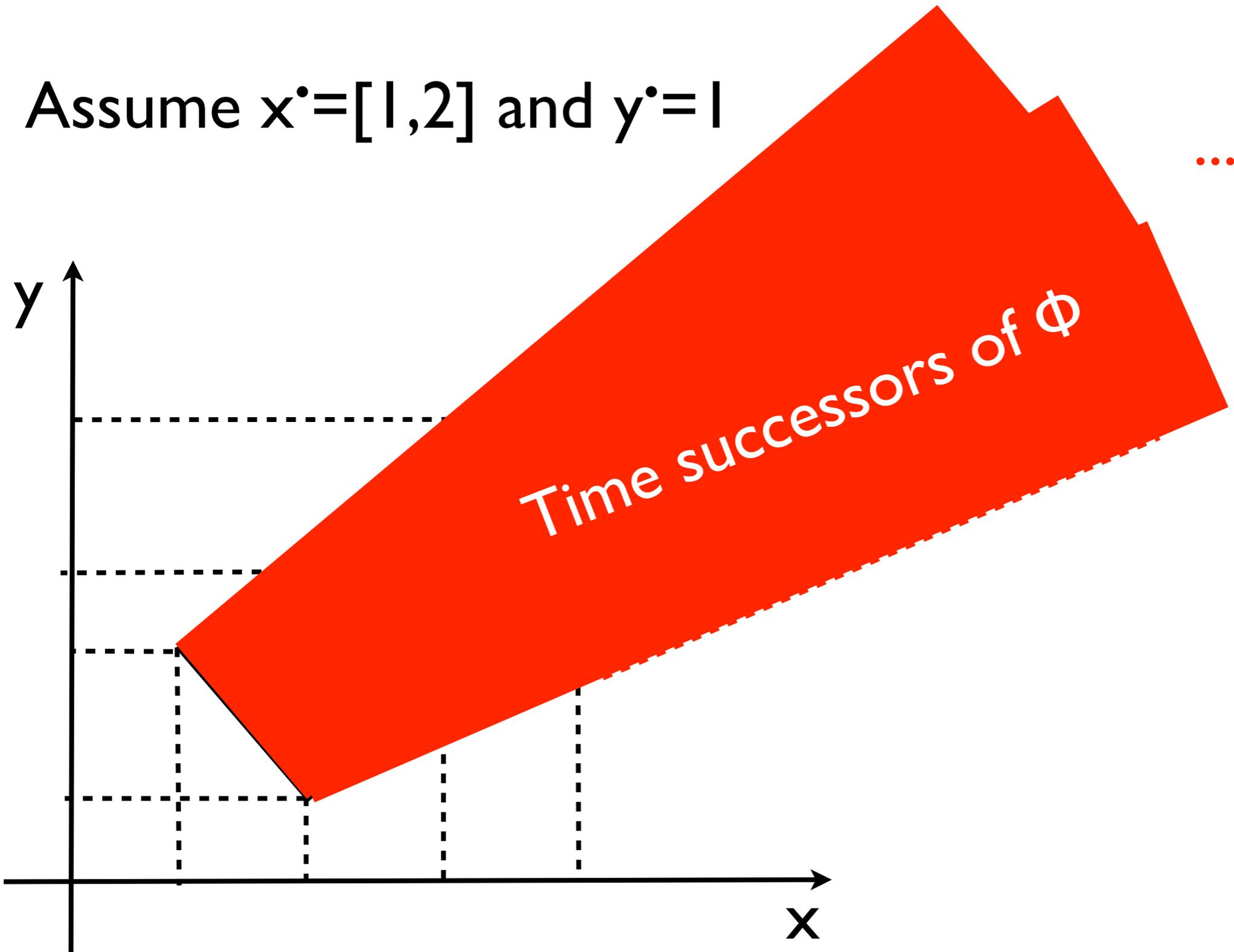
An example of time elapsing

Assume $x'=[1,2]$ and $y'=1$



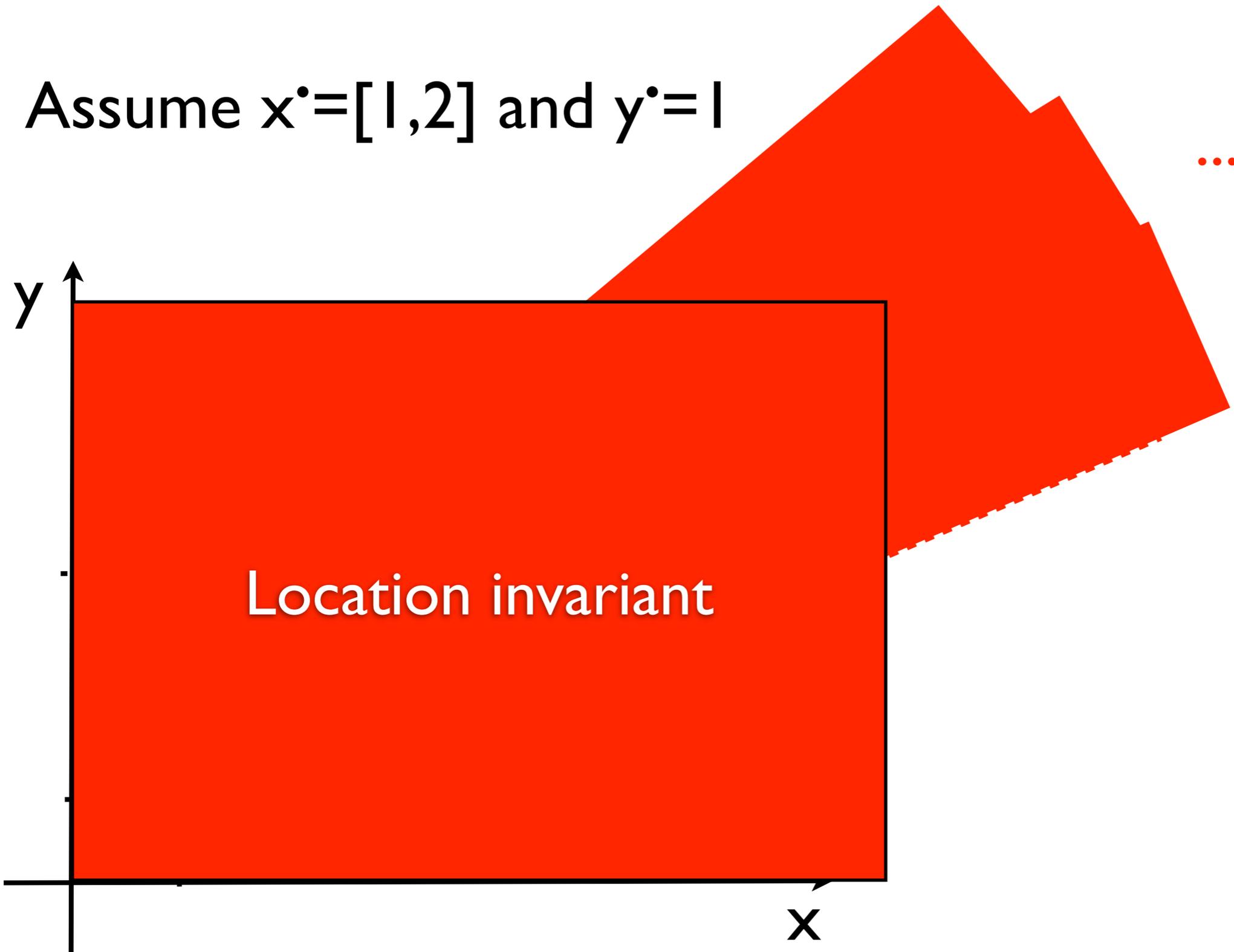
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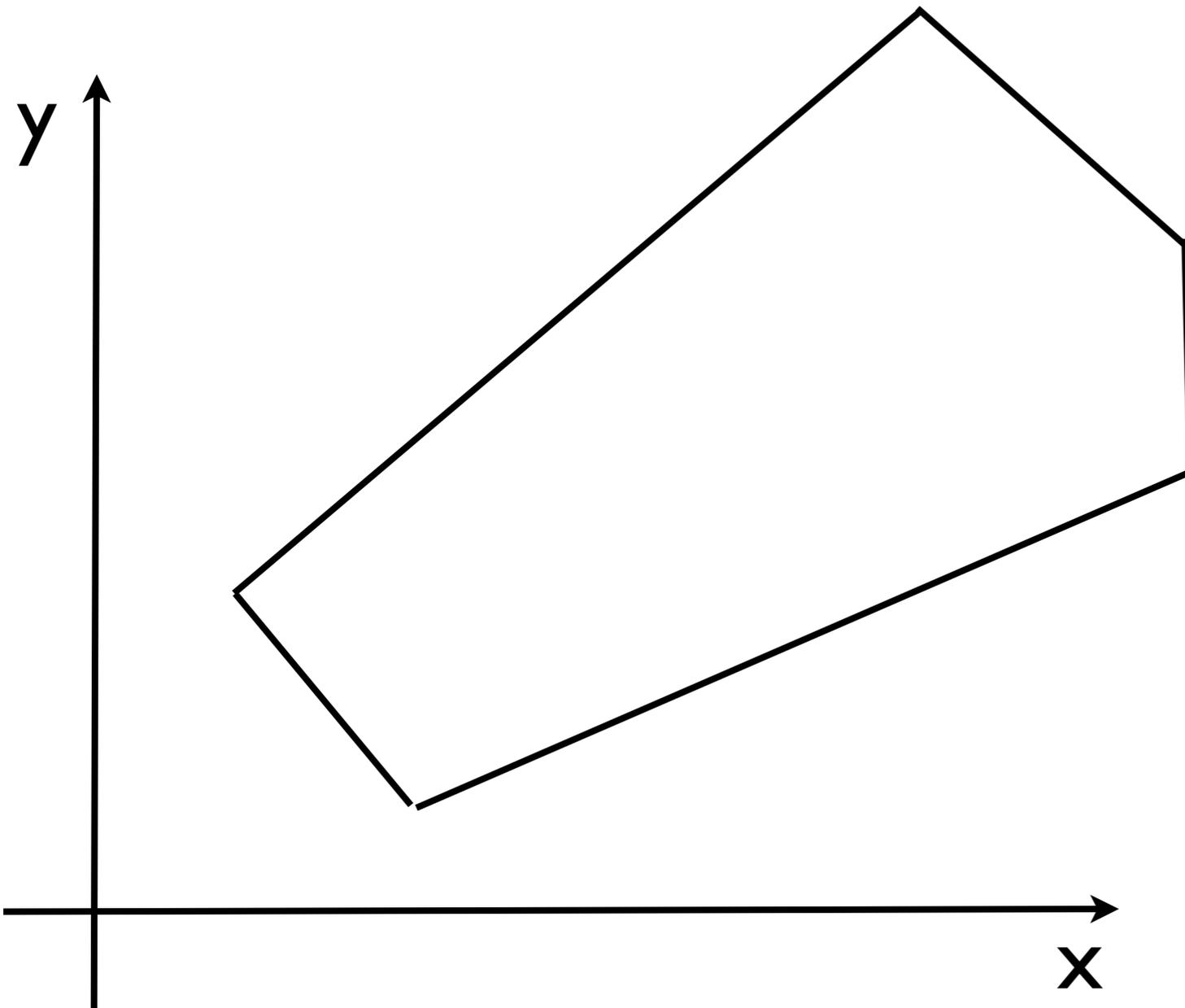
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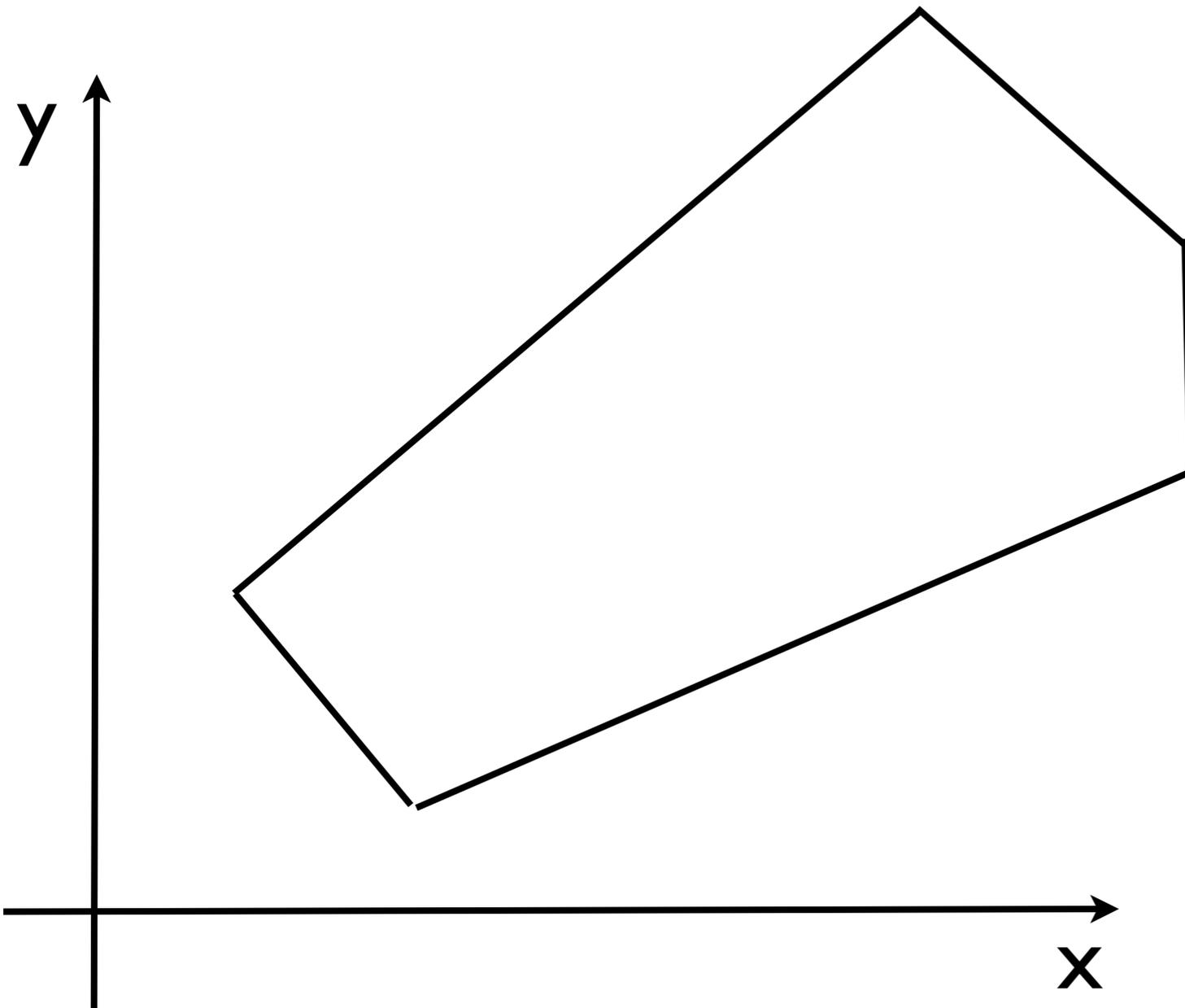


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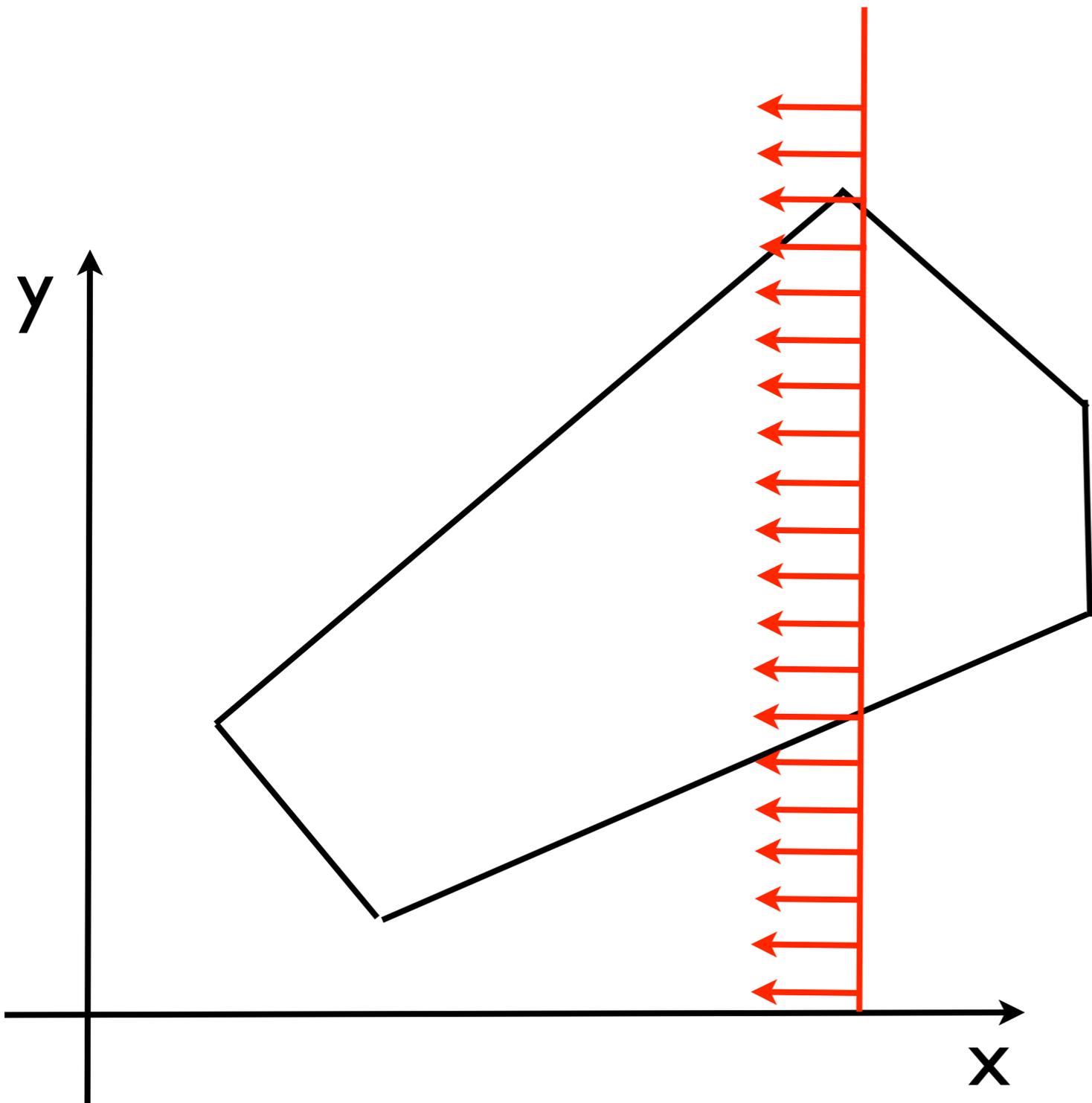


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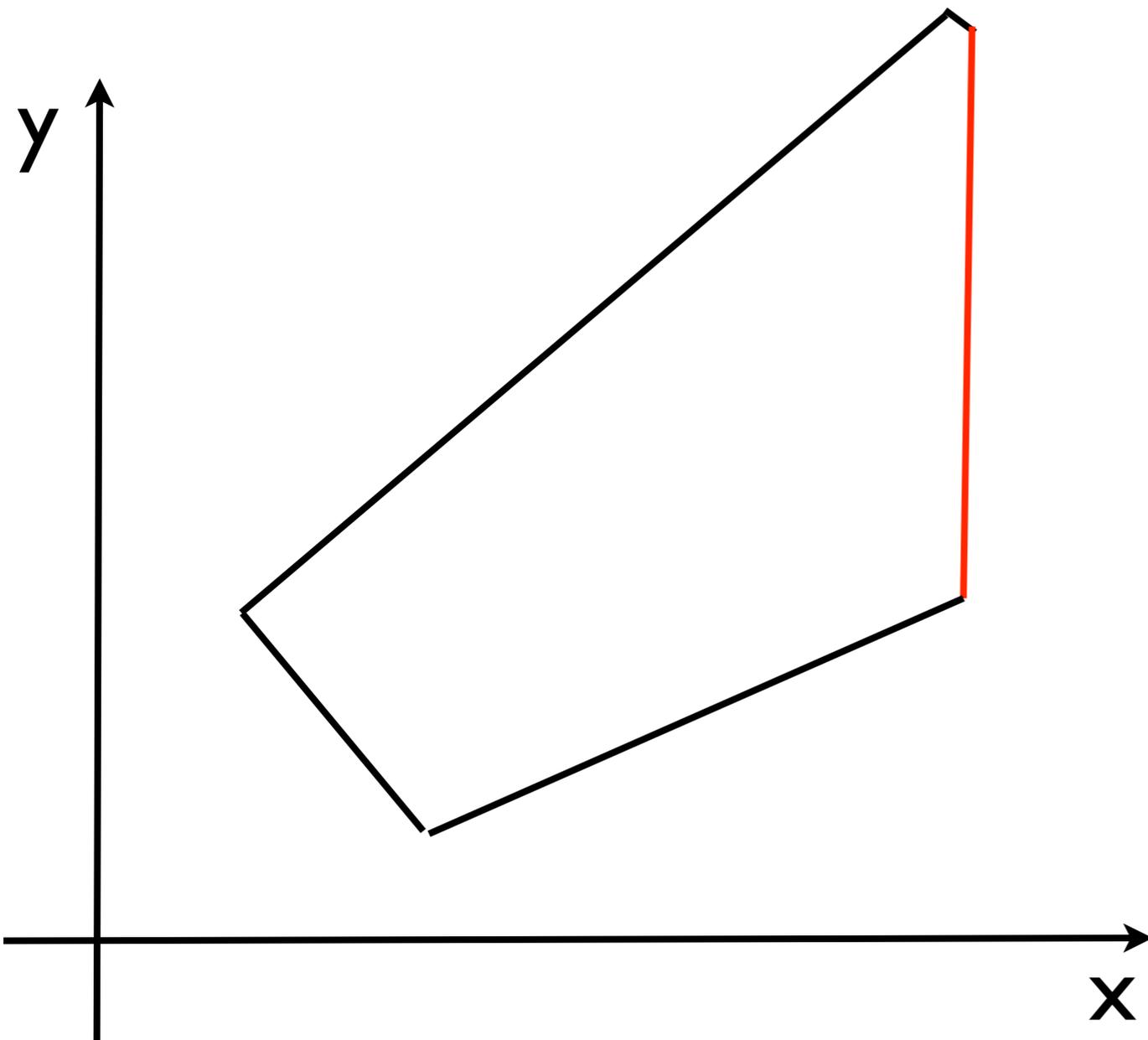
Assume a transition with guard $x \leq 5$ and reset of y to zero.

An example of discrete step



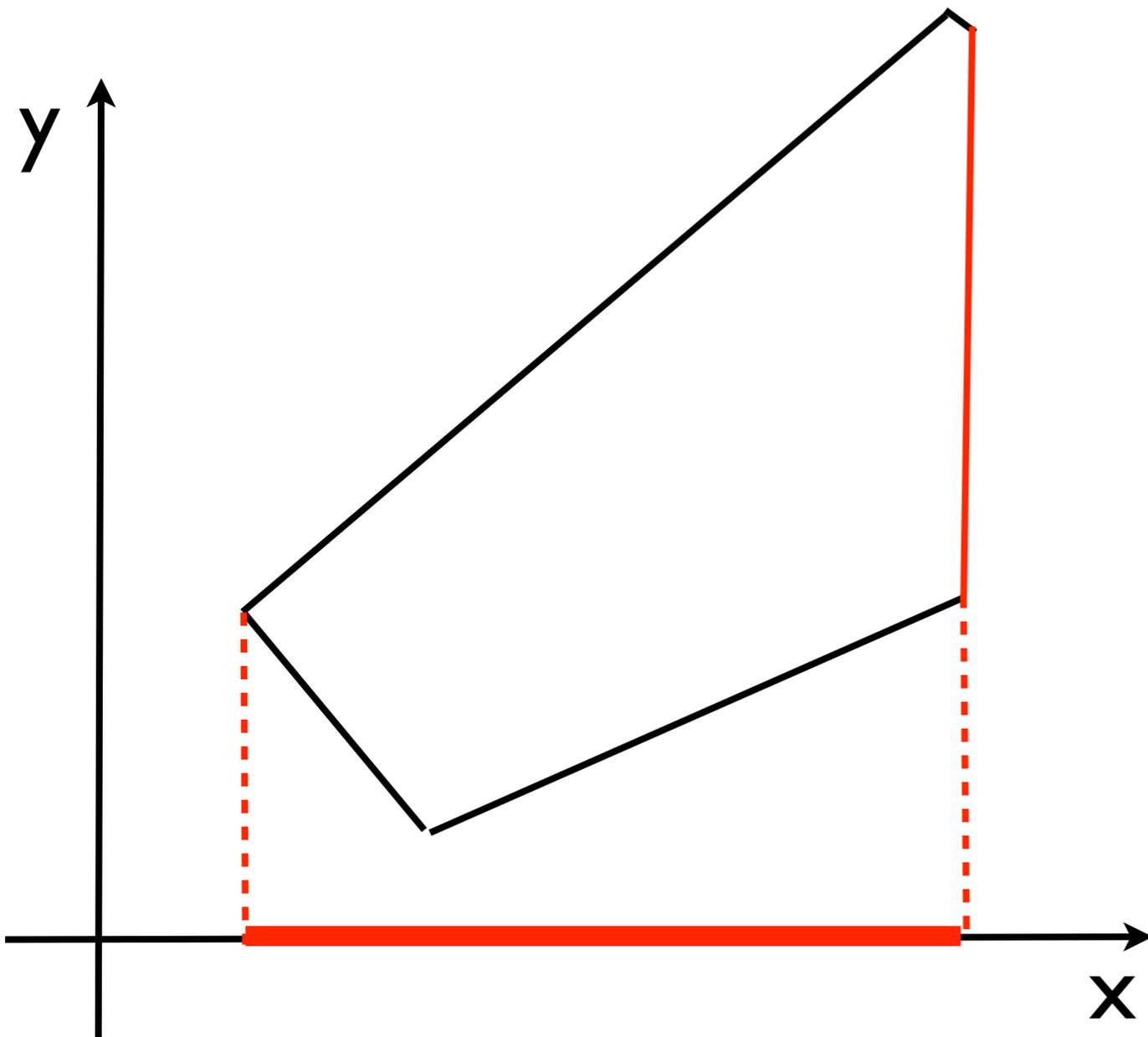
Assume a transition with guard $x \leq 5$ and reset of y to zero.

An example of discrete step



Assume a transition with guard $x \leq 5$ and reset of y to zero.

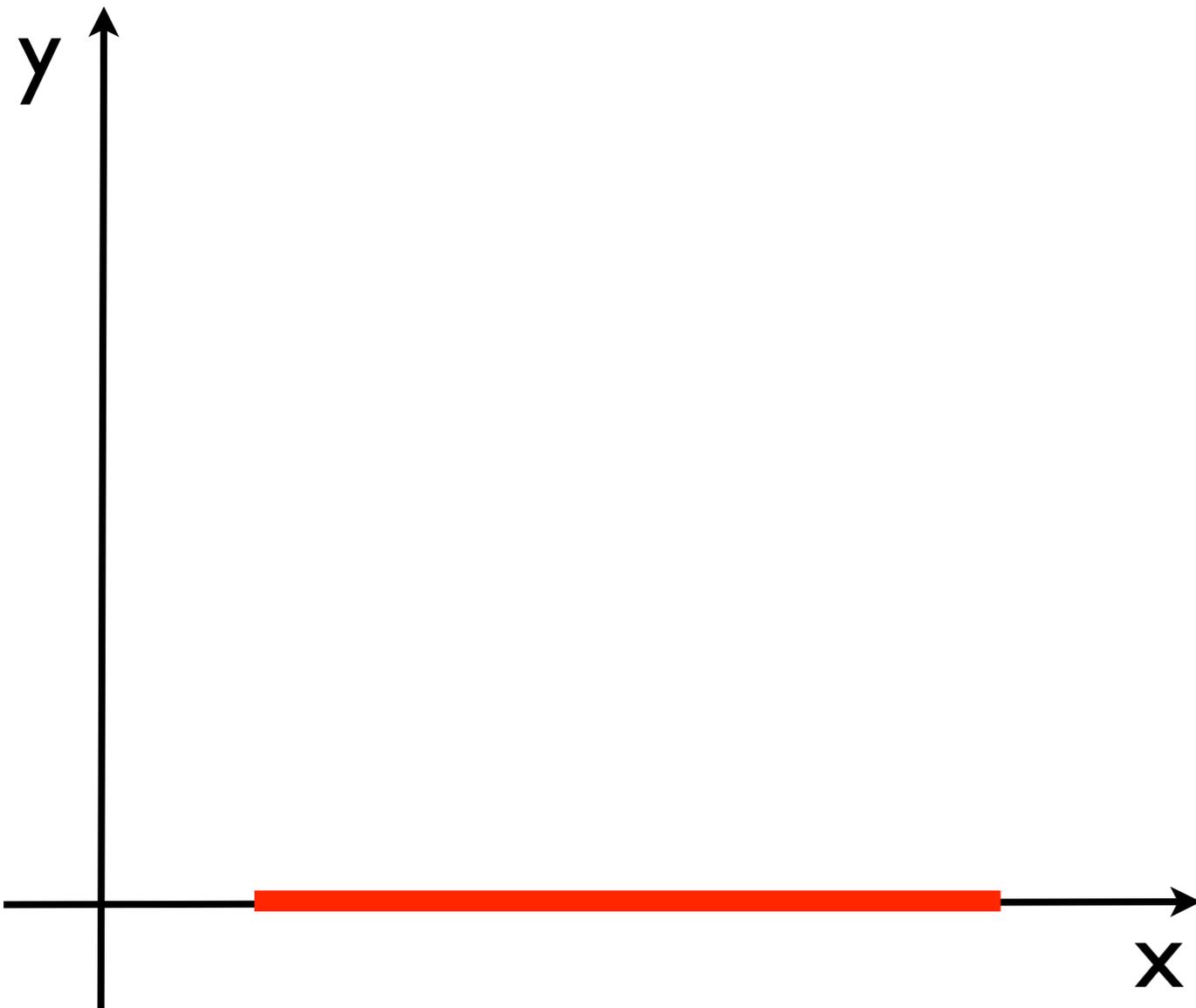
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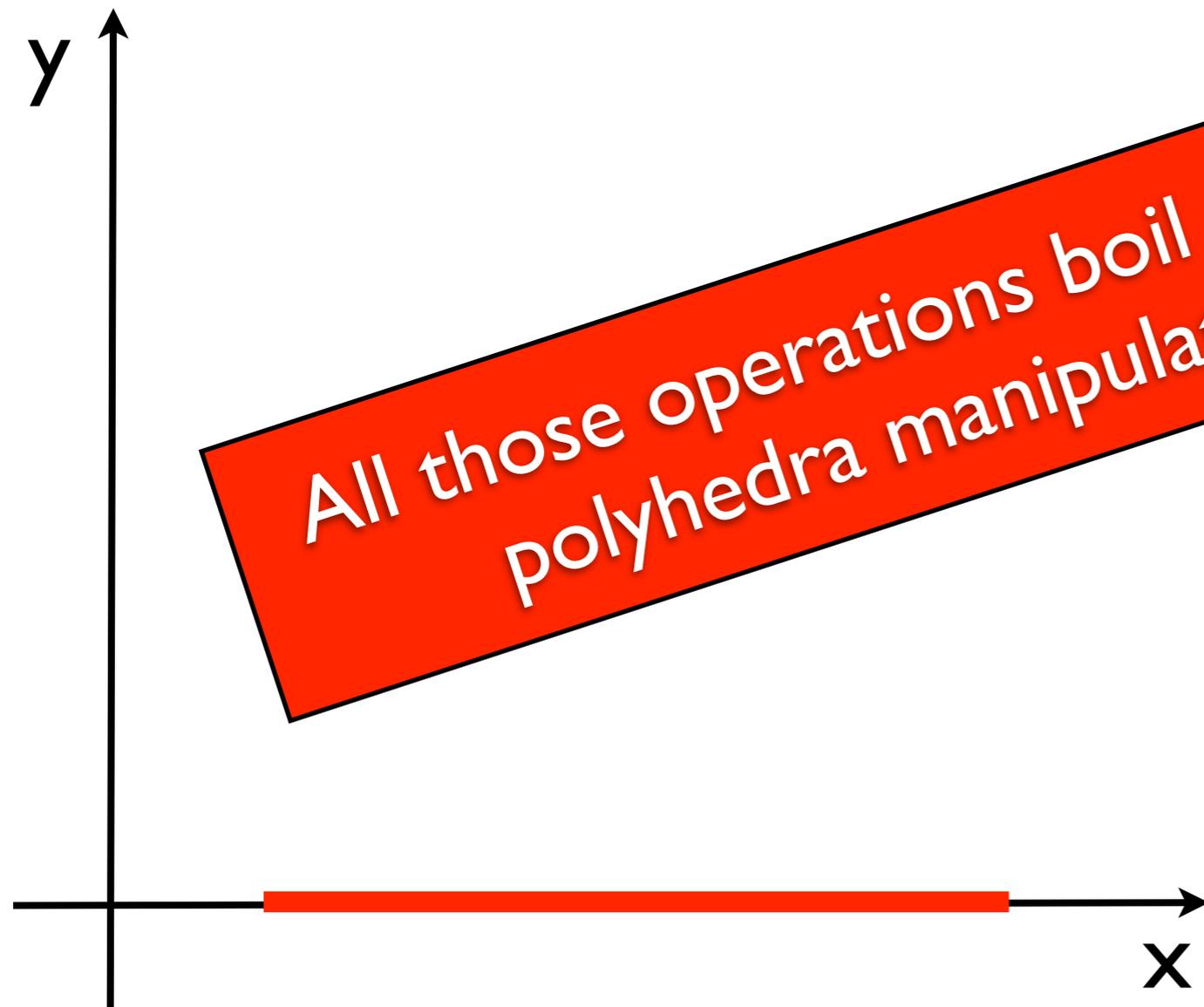
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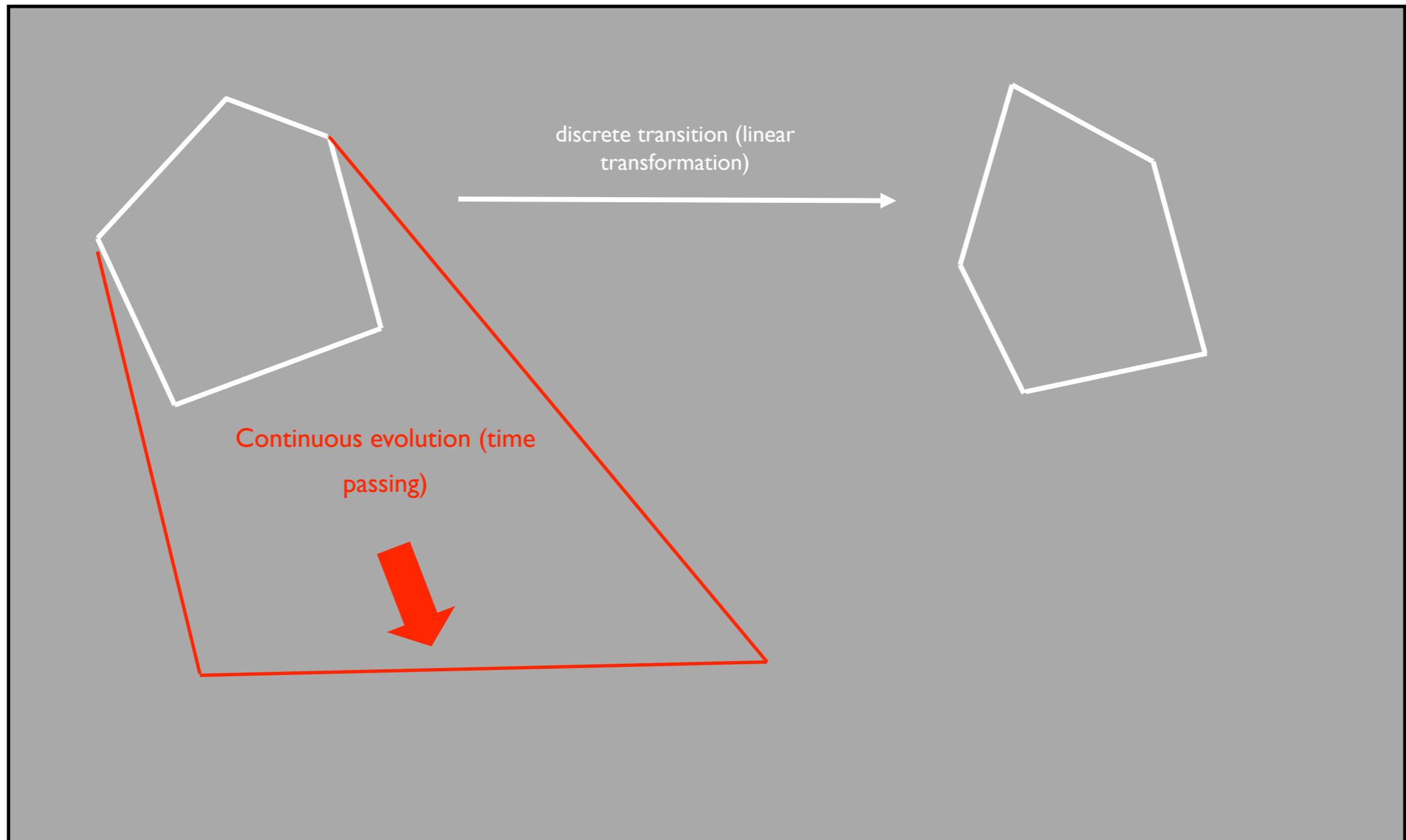
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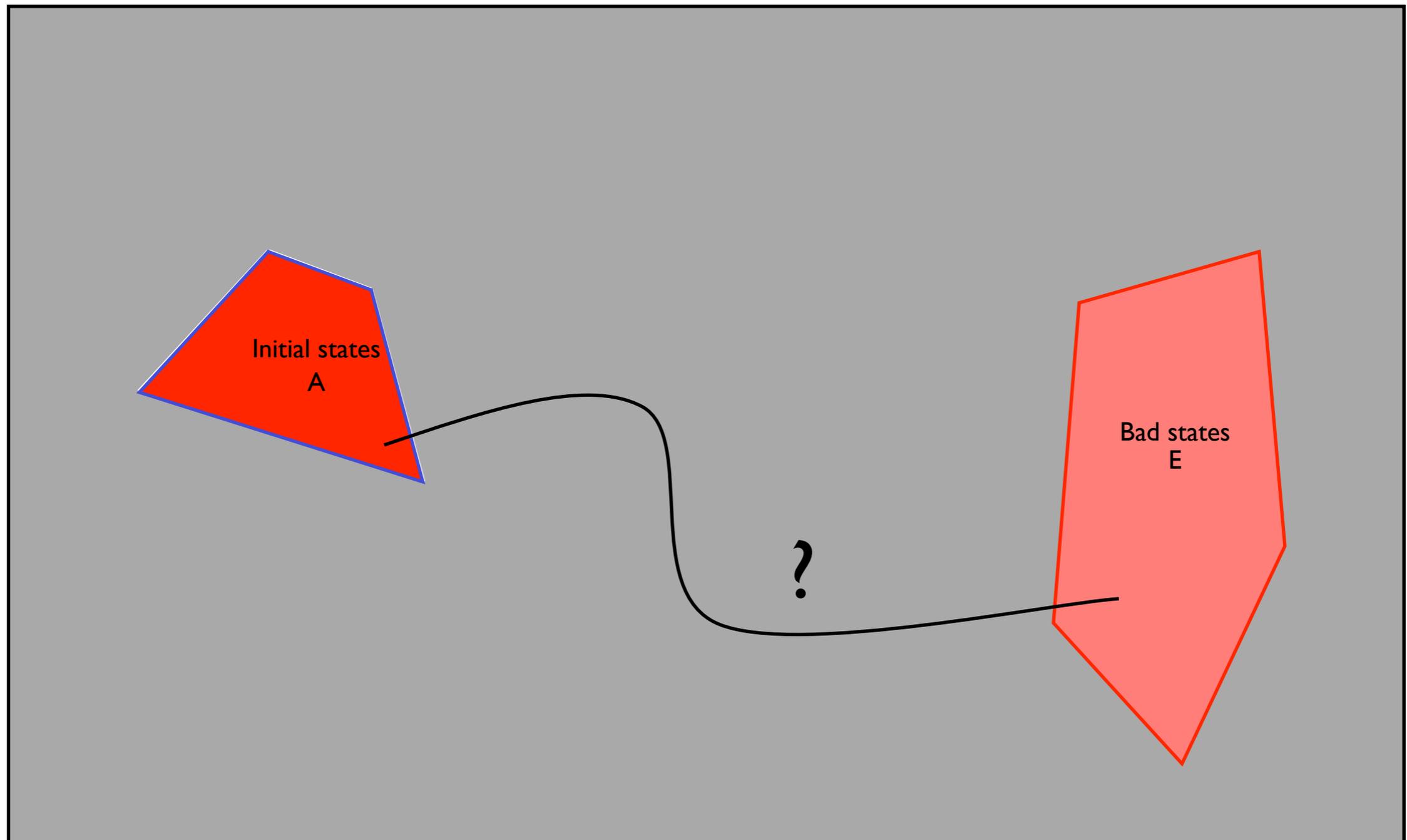
All those operations boil down to polyhedra manipulations

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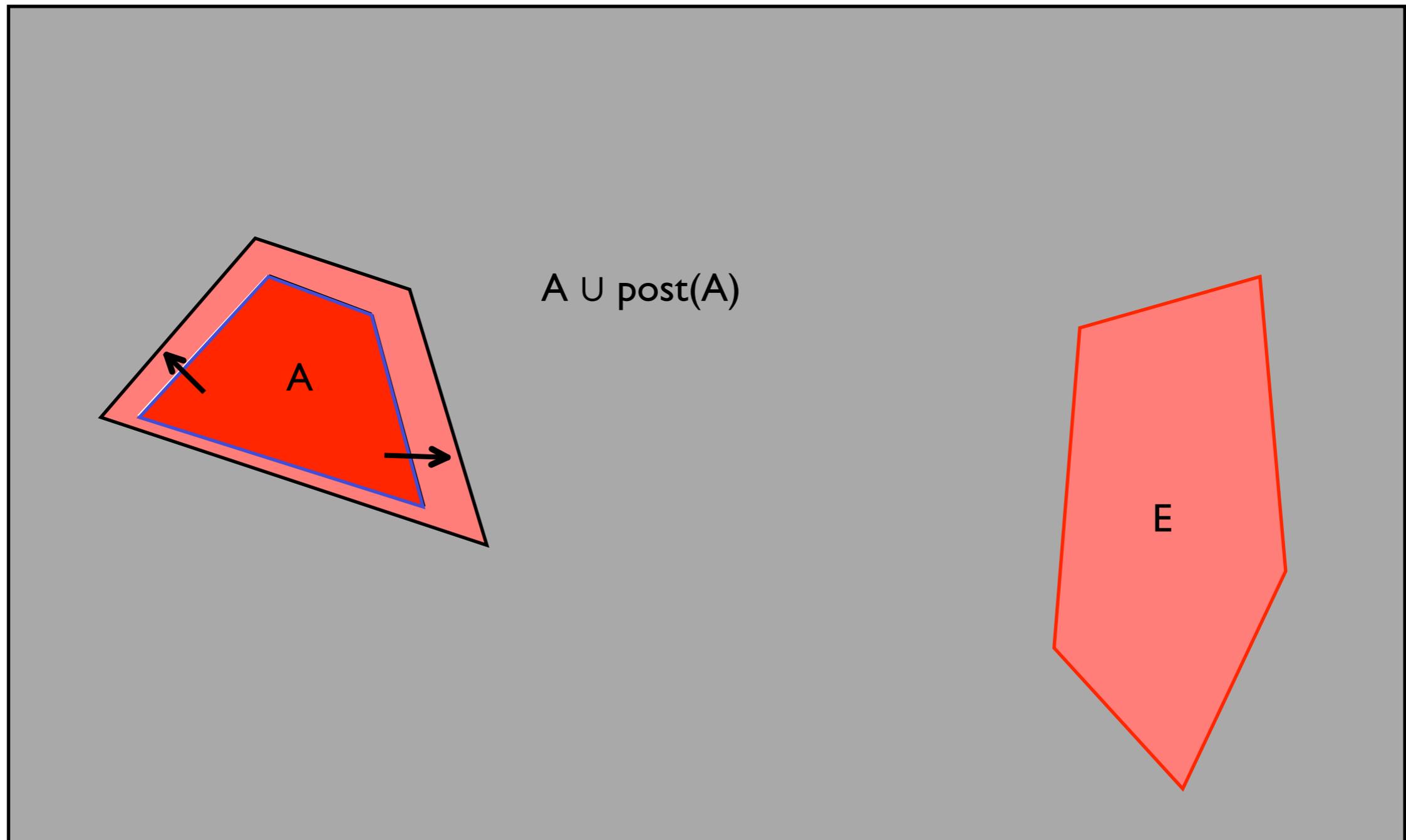
Forward reachability analysis



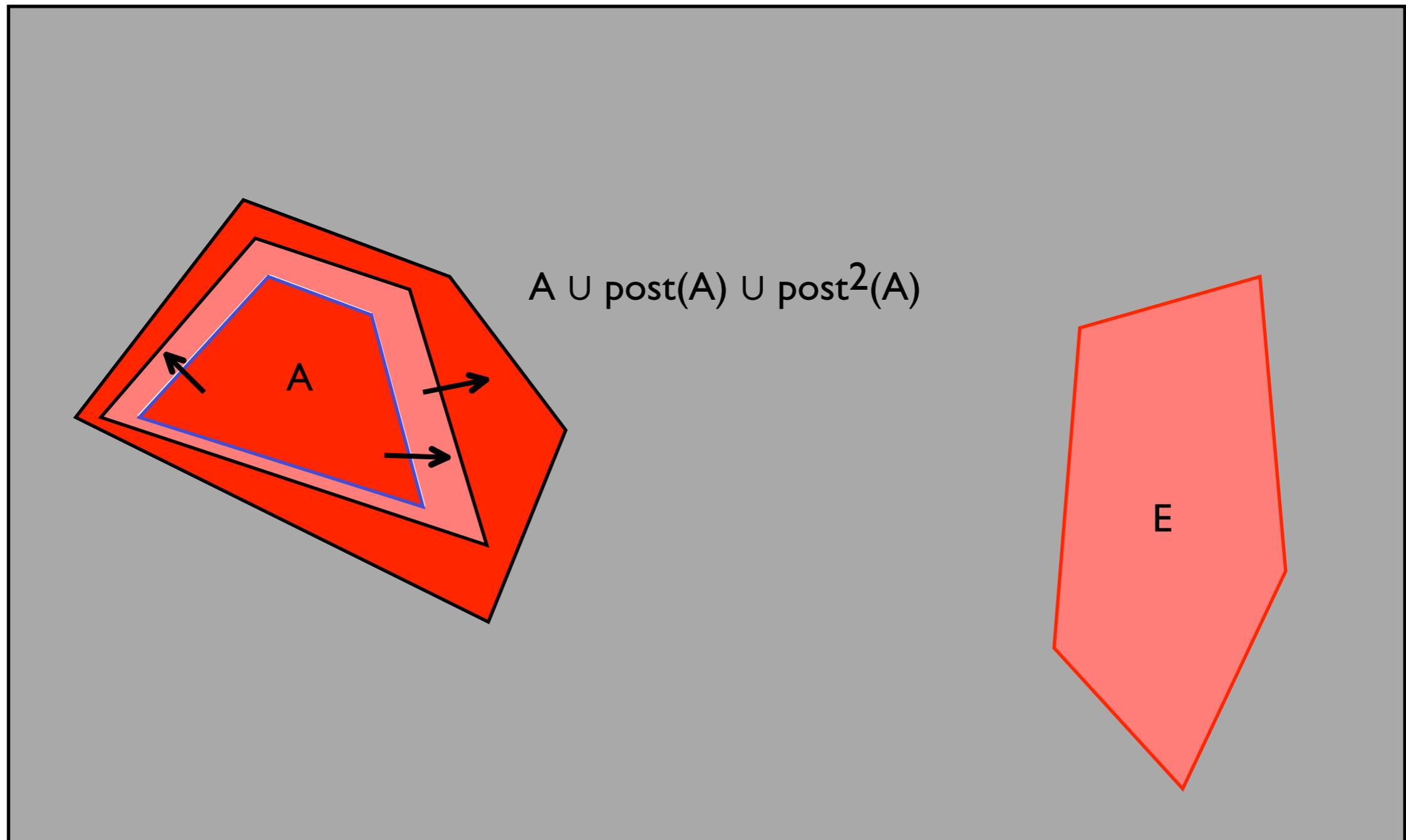
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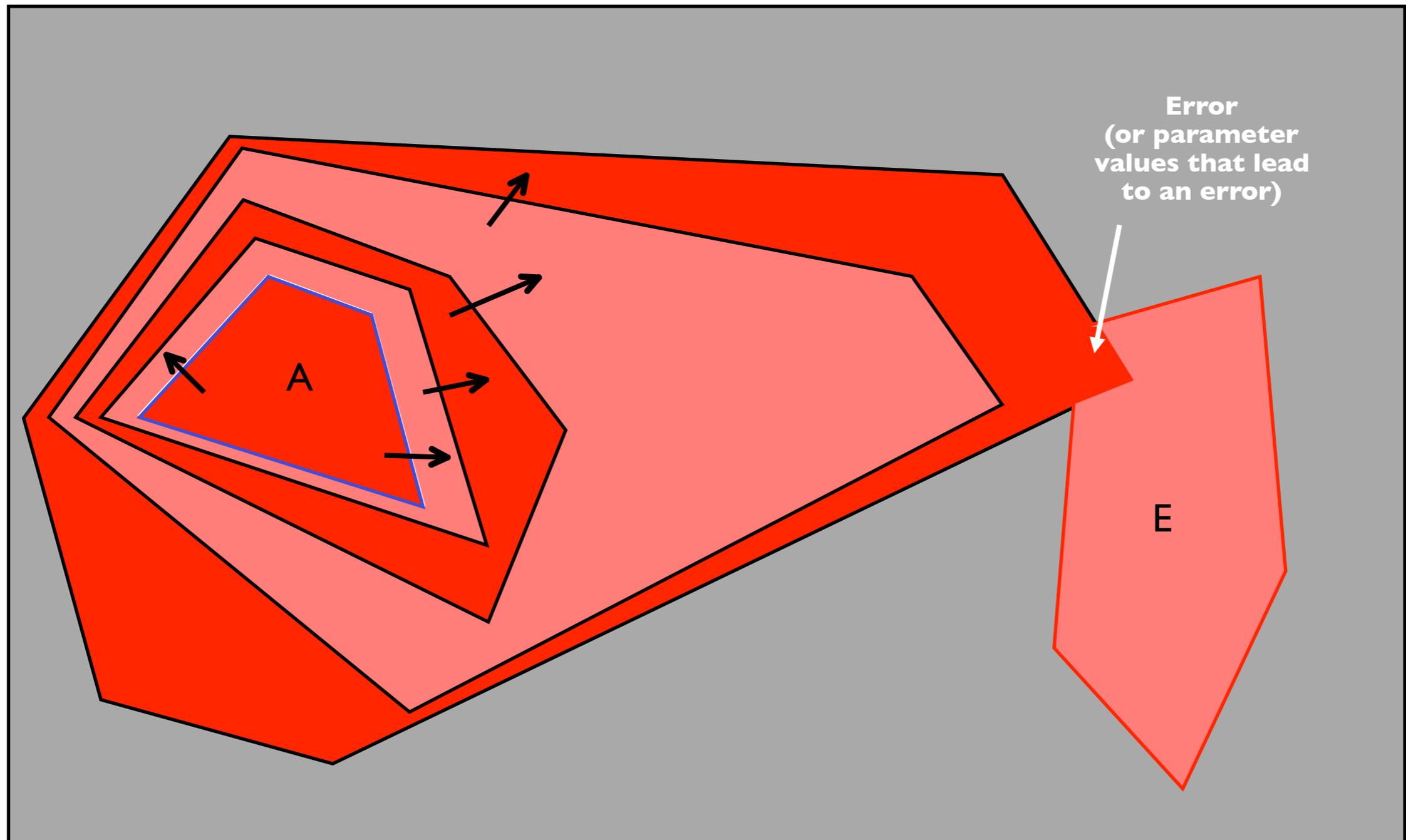
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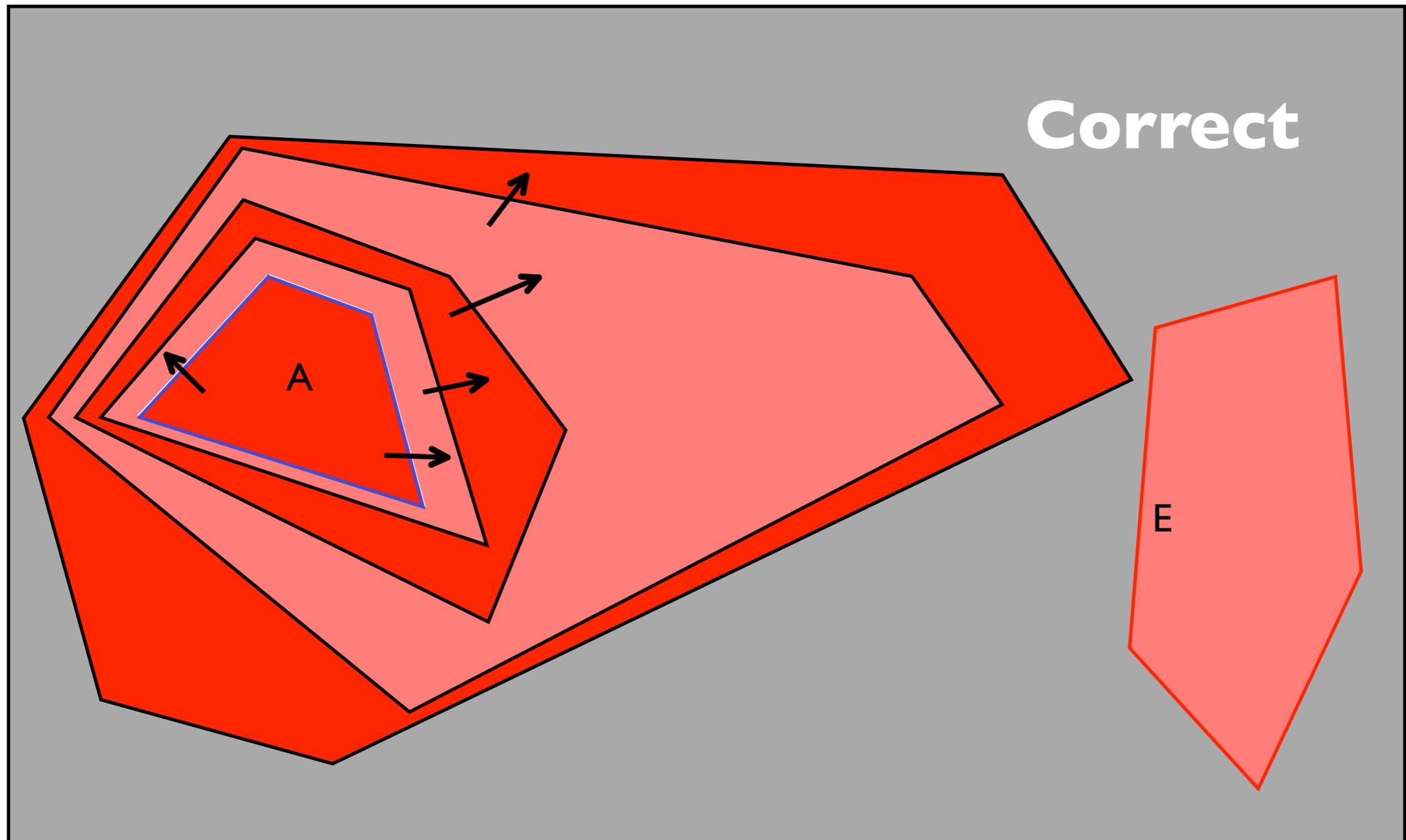
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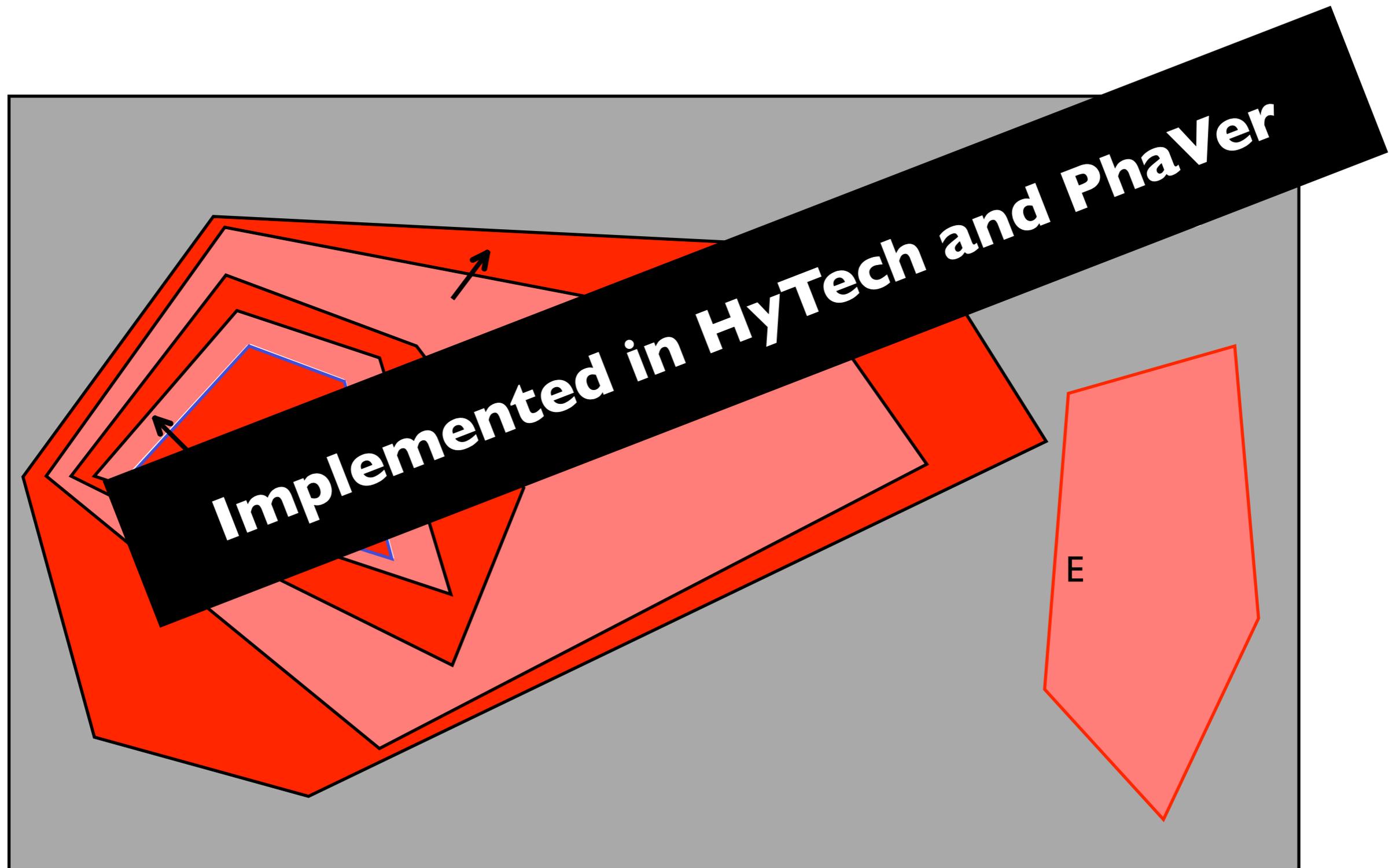
Forward reachability analysis



Forward reachability analysis



Forward reachability analysis



Decidability/ undecidability

Undecidability

Theorem.

The reachability problem for rectangular hybrid automata is undecidable.

This is already the case for **stopwatch automata** ($x' = 0/1$).

Undecidability

Theorem.

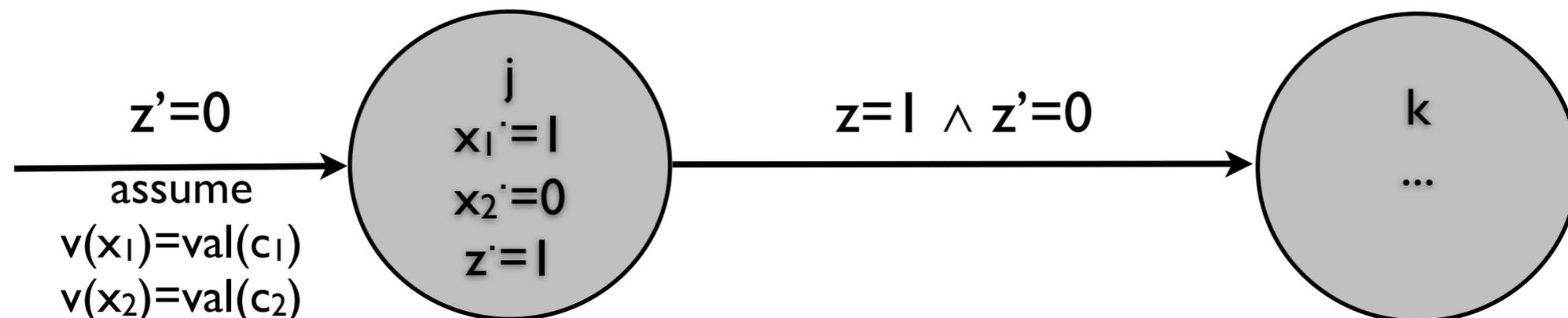
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Proof (sketch). By simulation of **two-counter machines** for which the halting problem is undecidable.

To simulate a 2-CM M , we use a RHA with 3 continuous variables.

Let us consider the instruction **j: $c_1 := c_1 + 1$; goto k;**

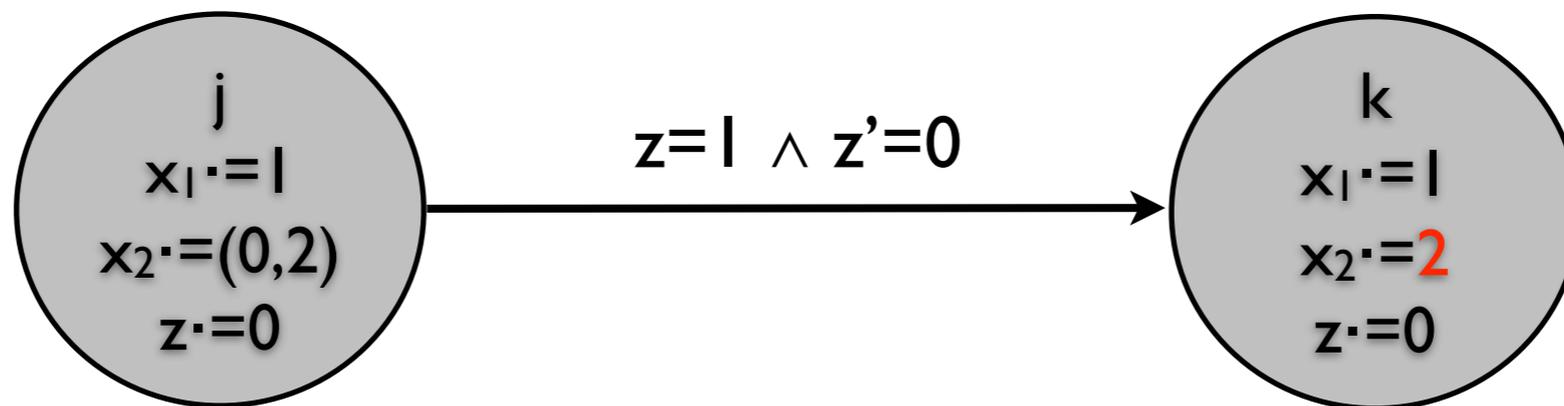


Initialized RHA

- ▶ A RHA is **initialized**, if for all discrete jumps (l_1, σ, l_2) , and for all variables $x \in X$:
 - either the flow constraints on x in l_1 and l_2 are identical
 - or variable x is updated during the discrete jump from l_1 to l_2

Initialized RHA

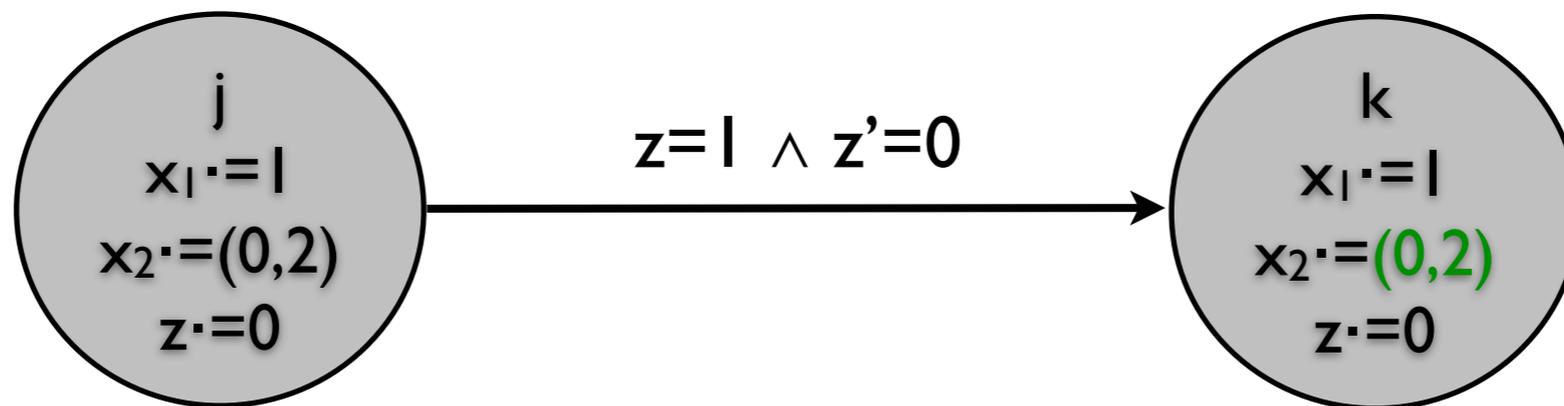
- ▶ A RHA is **initialized**, if for all discrete jumps (l_1, σ, l_2) , and for all variables $x \in X$:
 - either the flow constraints on x in l_1 and l_2 are identical
 - or variable x is updated during the discrete jump from l_1 to l_2



is **not** initialized

Initialized RHA

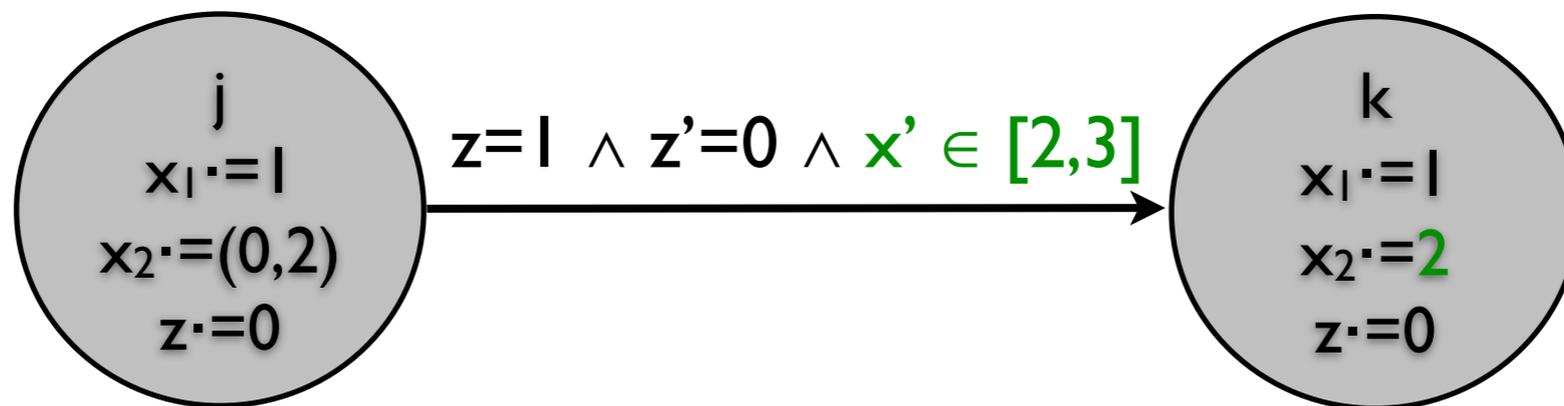
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Theorem[HPV96]. The reachability problem (and LTL model-checking problem) is **decidable** for the class of **initialized rectangular automata**.

- ▶ Note that Initialized RHA generalizes timed automata
- ▶ Existence of finite similarity quotient (init-RHA) and bisimilarity quotient (TA)

Decidability/Undecidability

	Reach
Timed automata	
Initialized RHA	
RHA	 (Stopwatch)
LHA	
Affine HA	
O-Minimal HA	

Beyond RHA/LHA

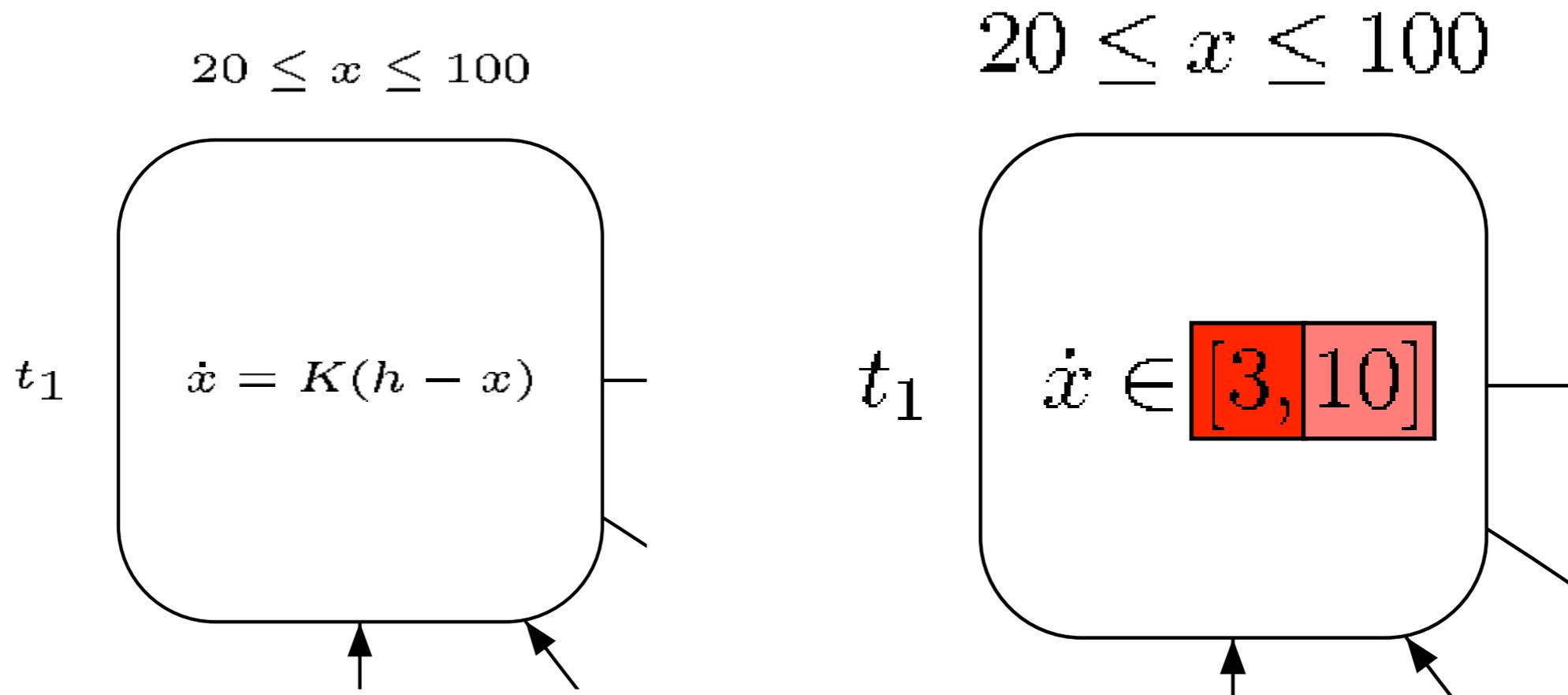
Approximate

Reachability

Rectangular approximations

- ▶ **Approximate** complex dynamics with rectangular dynamics
- ▶ ... use PhaVer or Hytech for analysis
- ▶ Rectangular approximations are often **precise enough**
- ▶ For each control mode we **partition** the space into rectangular regions
- ▶ Within each region, the flow field is **over-approximated** using rectangular flows
- ▶ Those approximations can often be obtained automatically:
for affine HA → solve an **LP** problem
- ▶ Approximations can be made **arbitrarily precise** by approximating over suitably small regions of the state space

An example

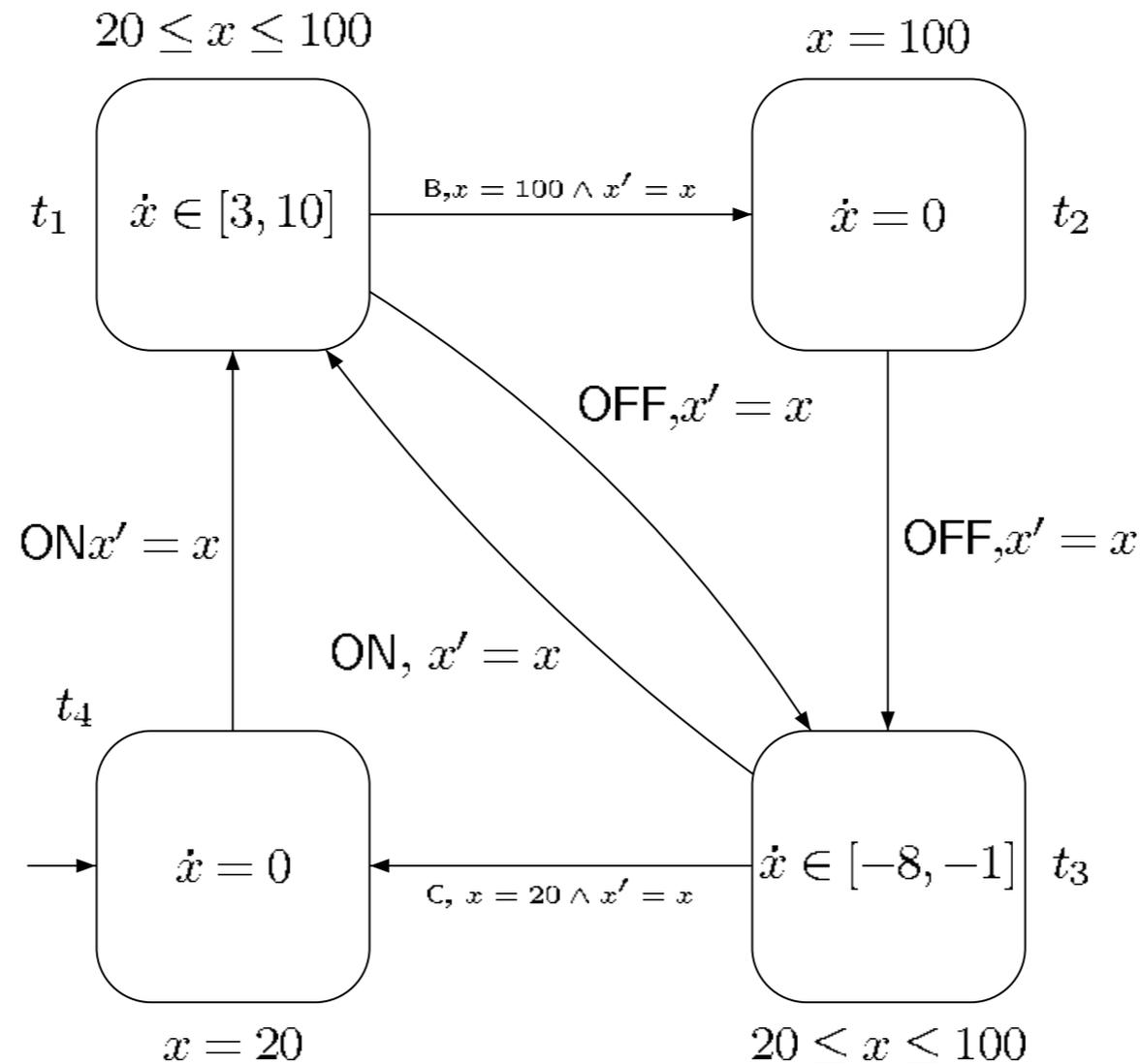


$$\text{Max}_{x \in [20, 100]} K(h-x) = K(h-20) = 0.075(150-20) = 9.75 \leq 10$$
$$\text{Min}_{x \in [20, 100]} K(h-x) = K(h-100) = 0.075(150-100) = 3.75 \geq 3$$

↙ **These are LPs**

An example

- ▶ Applying this computation for each location, we get the following **rectangular approximation** of the tank:



Over-approximations and correctness

- ▶ Let us note **RectOver**(H) the rectangular over-approximation obtained using the previous method;
- ▶ RectOver(H) is a **over-approximation** of the original system in the following formal sense:

$$\text{Path}_F(\llbracket H \rrbracket) \subseteq \text{Path}_F(\llbracket \text{RectOver}(H) \rrbracket)$$

- ▶ **Transfert of correctness** from overapproximations:

$$\begin{aligned} \text{if } \text{Path}_F(\llbracket \text{RectOver}(H) \rrbracket) \cap \text{BadPaths} = \emptyset \\ \text{then } \text{Path}_F(\llbracket H \rrbracket) \cap \text{BadPaths} = \emptyset \end{aligned}$$

Over-approximations and correctness

- ▶ When over-approximating the behavior of a system, we face the possibility to get **false negatives** during verification;
- ▶ Indeed, the set of behaviors of the **over-approximation** is a **superset** of the behaviors of the original system...
- ▶ ...so if we have that

$$\text{Path}_F(\llbracket \text{RectOver}(\mathbf{H}) \rrbracket) \cap \text{BadPaths} \neq \emptyset$$

it is **not** necessarily the case that

$$\text{Path}_F(\llbracket \mathbf{H} \rrbracket) \cap \text{BadPaths} \neq \emptyset$$

Candidate counter examples

- ▶ A path $\lambda = s_0 \tau_0 s_1 \tau_1 \dots \tau_{n-1} s_n$ is an **candidate counter example** if
 - $\lambda \in \llbracket \text{OverRect}(H) \rrbracket \cap \text{BadPaths}$
- ▶ When facing a candidate counter example, we check if the counter example is realizable in the original model, so we ask:
 - $\lambda \in? \llbracket H \rrbracket$

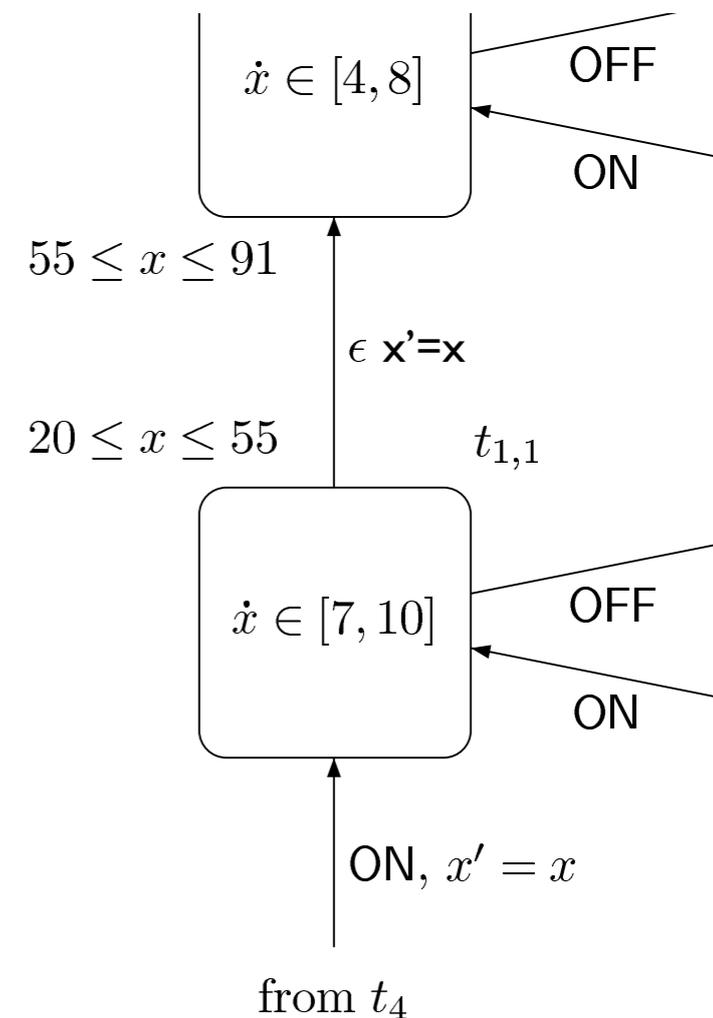
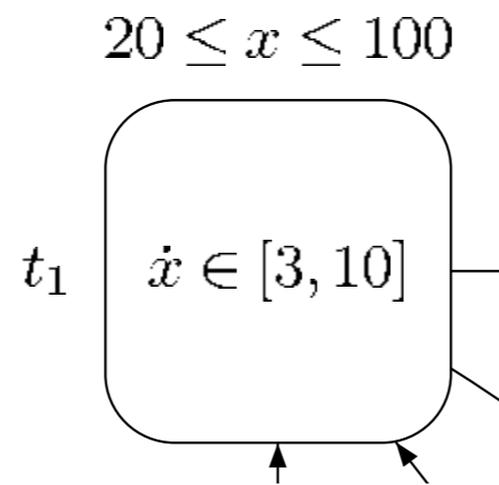
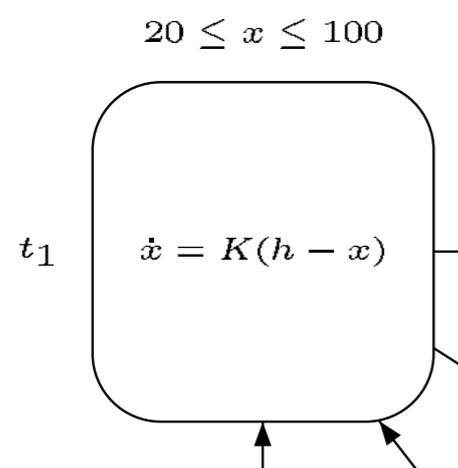
This test is possible for larger class than rectangular automata, i.e. affine/polynomial hybrid automata.
- ▶ If $\lambda \in \llbracket H \rrbracket$, then we have found a **real** counter example i.e., the a Bad path in the original HA H .

Spurious counter-examples

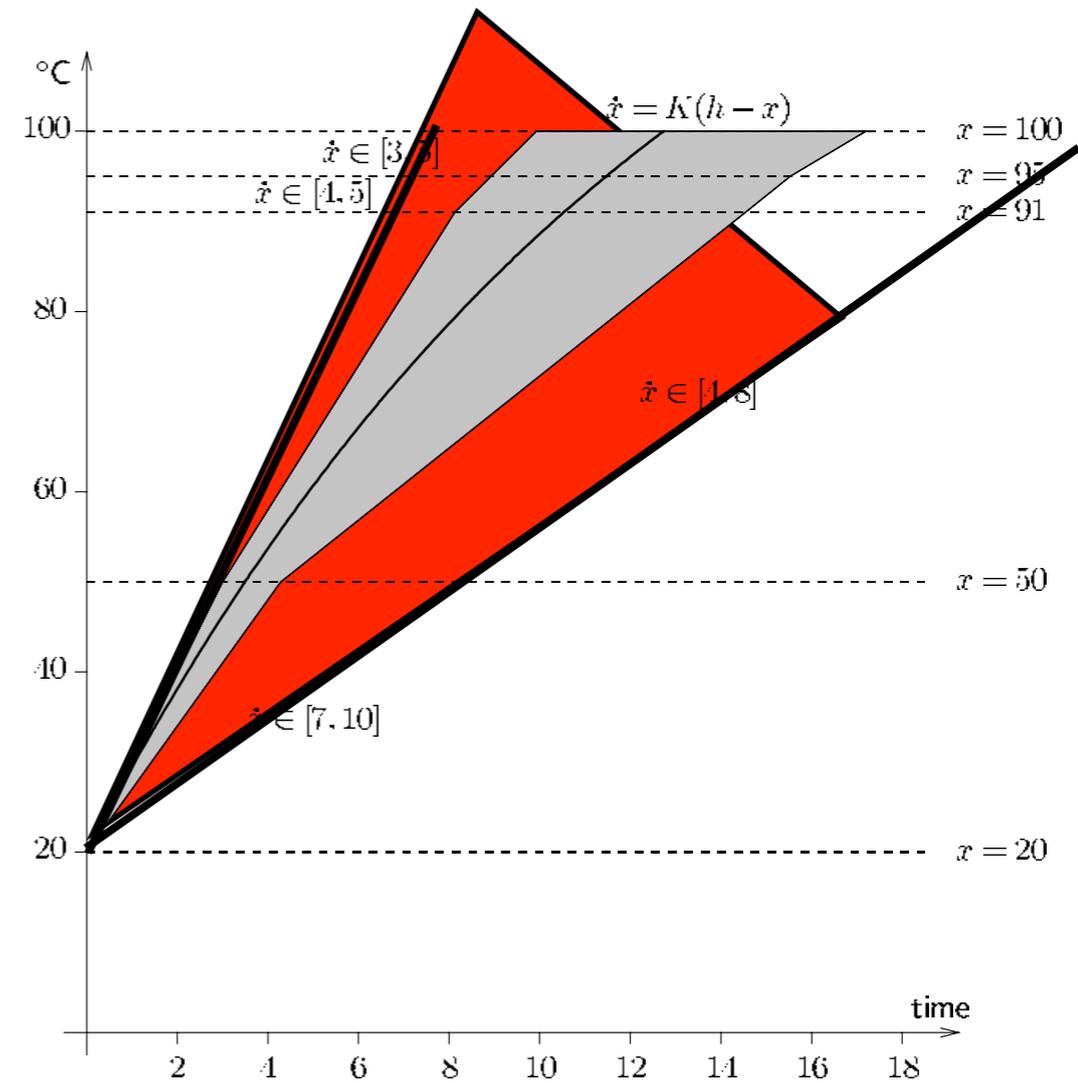
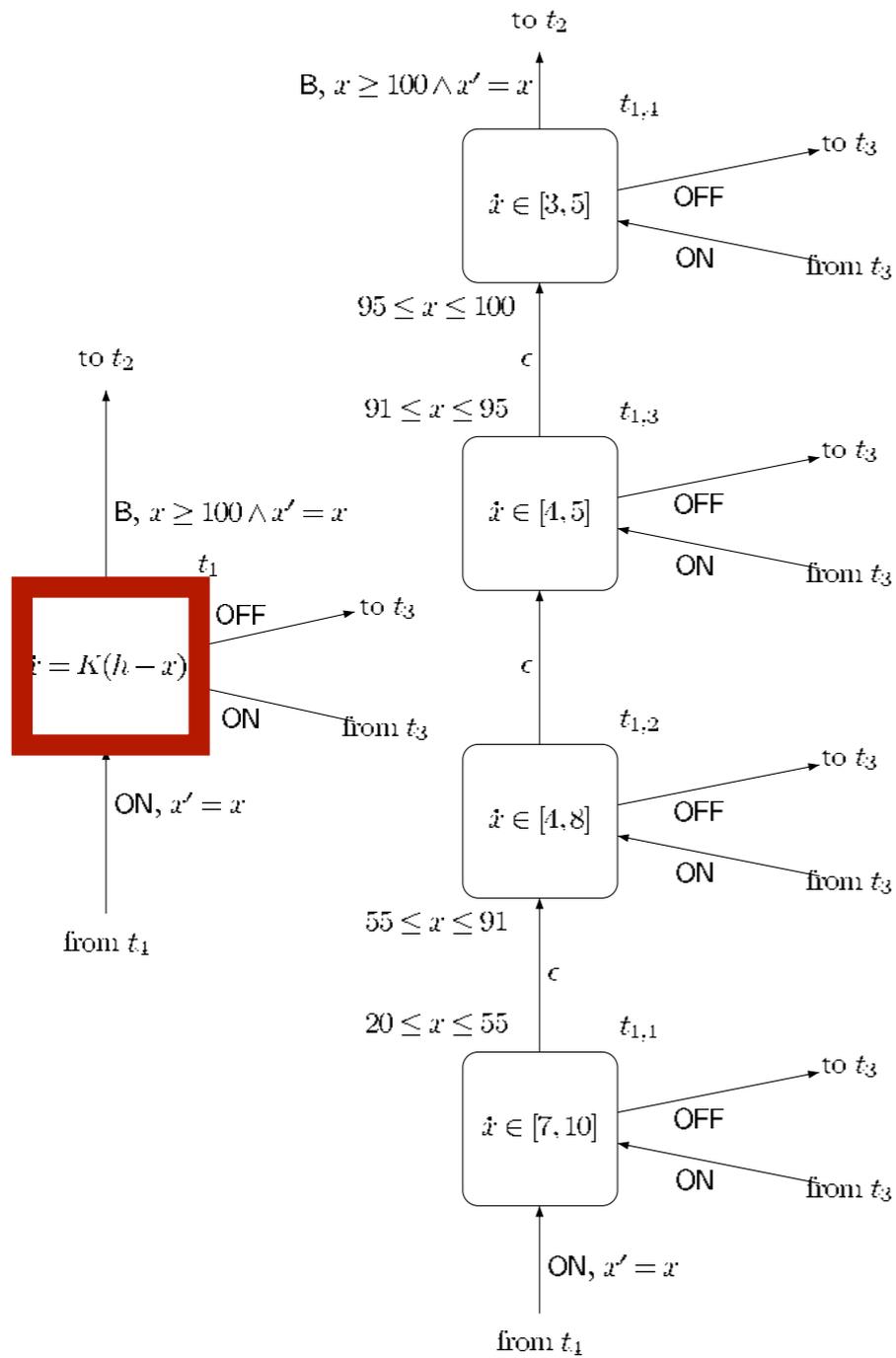
- ▶ If $\lambda \notin \llbracket H \rrbracket$, then λ is a **spurious counter example** i.e.:
 - $\lambda \in \llbracket \text{OverRect}(H) \rrbracket \cap \text{BadPaths}$
 - $\lambda \notin \llbracket H \rrbracket$
- ▶ In this case, we must **refine** $\text{OverRect}(H)$ in order to eliminate the counter example.
- ▶ There is a large research effort in the CAV community on the so-called **counter-example based abstraction refinement**, and variants.

Abstraction refinement

- ▶ In presence of **spurious counter examples**, we **refine** the rectangular approximation by **splitting** locations to decorate them with smaller rectangular regions.



Example



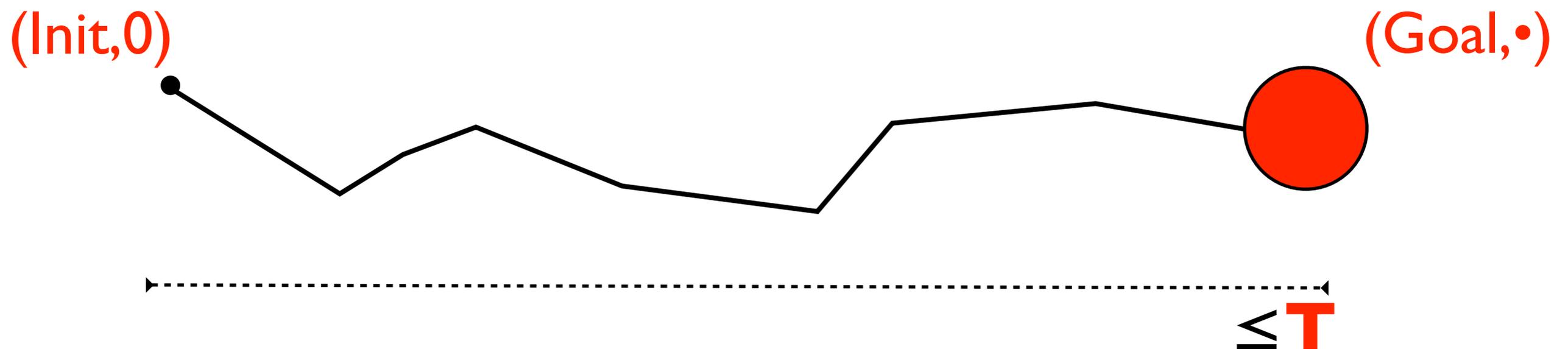
Time-bounded Reachability

Time Bounded Reachability

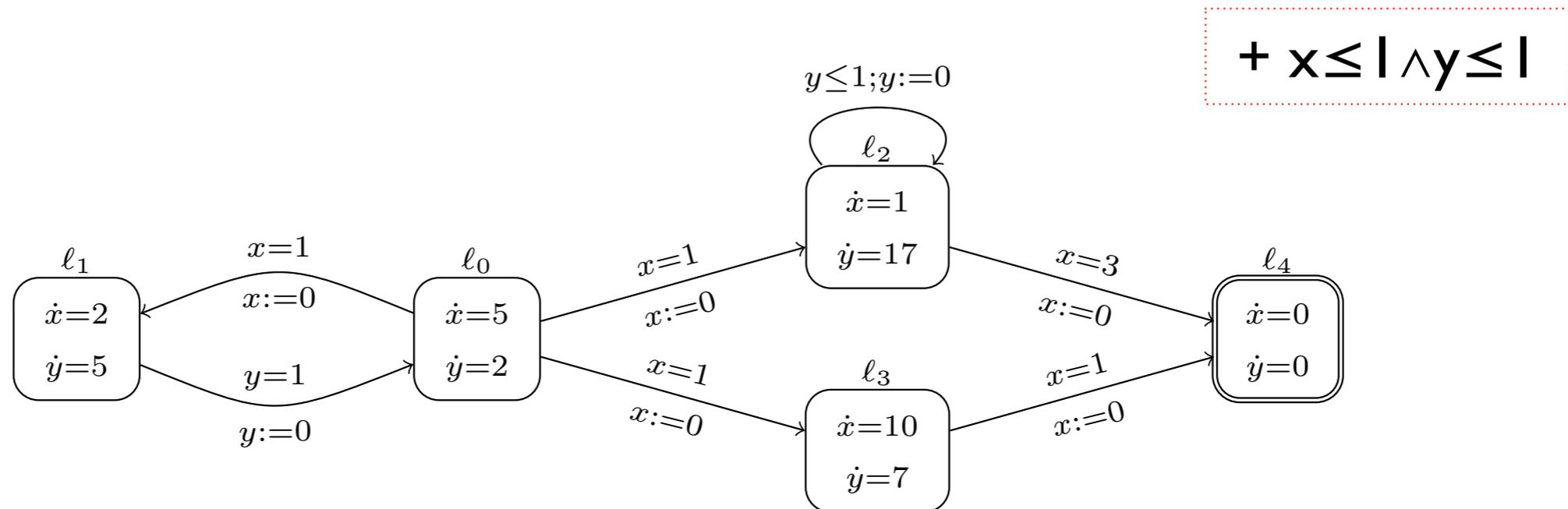
Definition

- ▶ Given an LHA $H=(X,Loc,Edges,Rates,Inv,Init)$
 - ▶ a location $Goal \in Loc$ and
 - ▶ a time bound $T \in \mathbb{N}$

The **time bounded reachability problem** is to decide if $\exists \rho=(Init,0) \rightarrow (Goal,\bullet)$ of H with $duration(\rho) \leq T$.

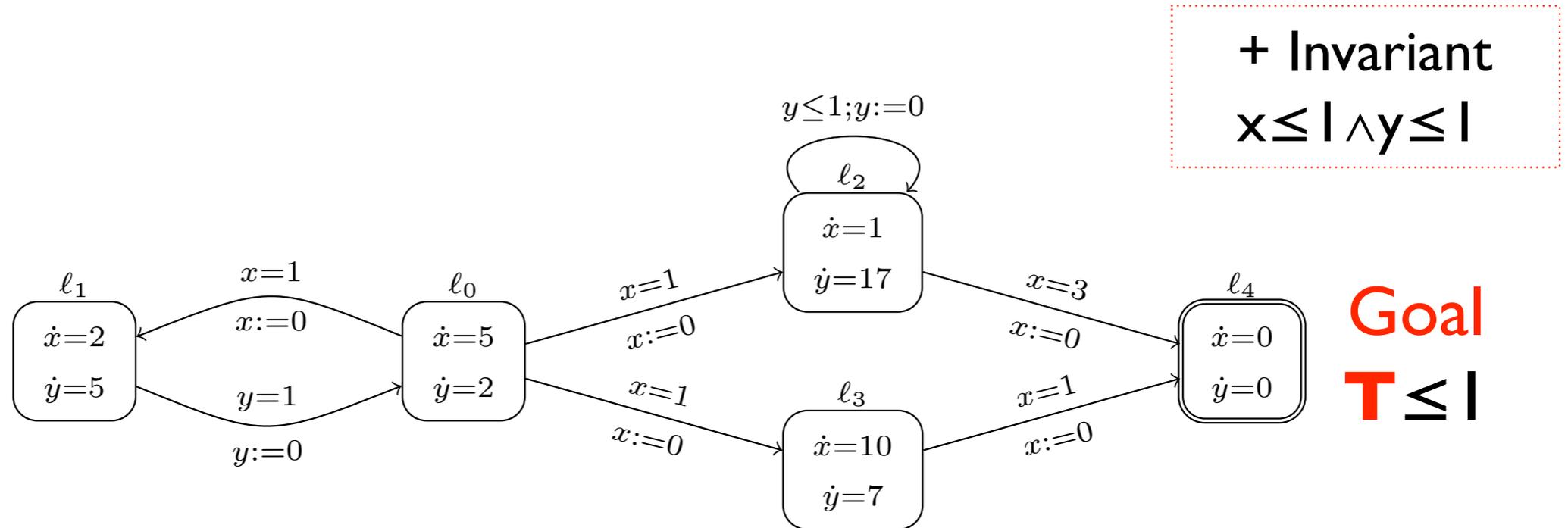


Time Bounded Reachability

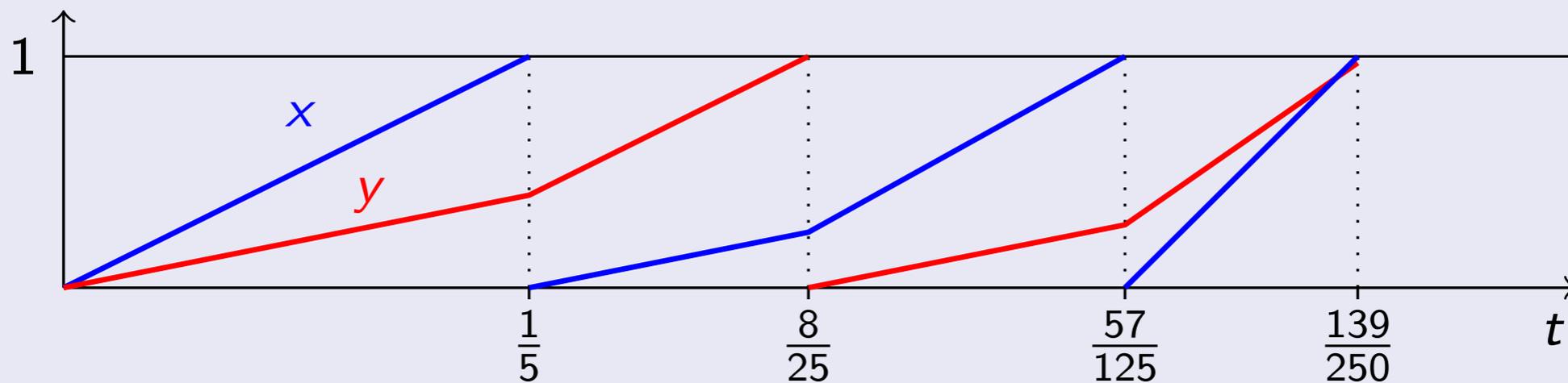


- ▶ This automaton is **non-initialized**, but
 - (I) **non-negative** rates
 - (II) **diagonal free**
- ▶ class **RHA \oplus** for which we show **decidability** of TBR

Time Bounded Reachability



$$(l_0, 0, 0) \xrightarrow{\frac{1}{5}, e_{01}} (l_1, 0, \frac{2}{5}) \xrightarrow{\frac{3}{25}, e_{10}} (l_0, \frac{6}{25}, 0) \xrightarrow{\frac{17}{125}, e_{03}} (l_3, 0, \frac{34}{125}) \xrightarrow{\frac{1}{10}, e_{34}} (l_4, 0, \frac{243}{250}).$$



Additional hypothesis (wlog)

- ▶ RHA^{\oplus} :
 - ▶ **non-negative** rates
 - ▶ **diagonal free**
- ▶ All variables are bounded by 1
 - ▶ $(L, 2.1, 4.7)$ is encoded by $((L, 2, 4), 0.1, 0.7)$
 - ▶ **Only** guards of the form $\mathbf{x} < 1, \mathbf{x} = 1$
 - ▶ As soon as a clock reaches value 1, it is reset

Bounding the number of transitions

Our goal:

- ▶ Given ρ an execution of H reaching Goal from (L_0, x_0) within \mathbf{T} time units.
- ▶ We want to build an execution ρ' of H such that :
 - ρ' reaches Goal from (L_0, x_0) within \mathbf{T} time units
 - the number of transitions of ρ' is **bounded** by a constant depending only of H and \mathbf{T}

Solution:

- ① Simple observation: bounding the number of equalities
- ② Bounded witness between equalities

Bounding number of equalities

Proposition

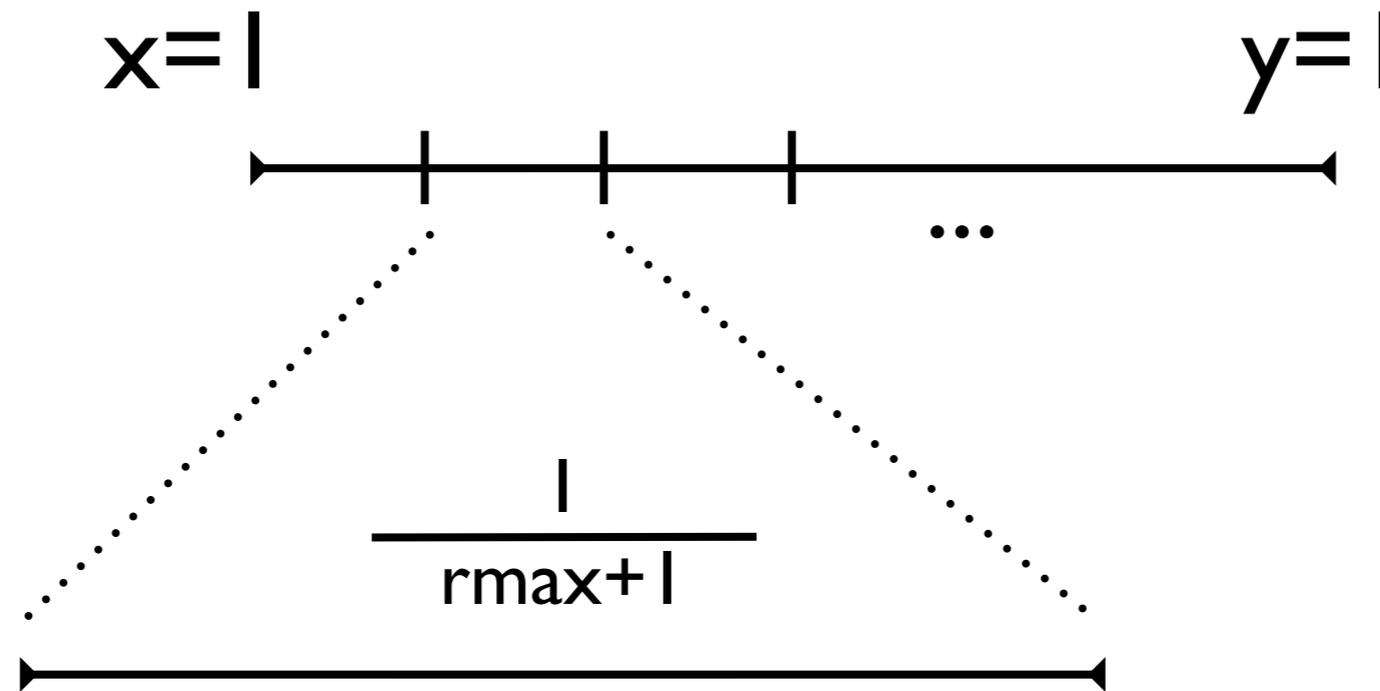
- ▶ Let H be an RHA^\oplus with a set of variables X
- ▶ Let ρ be a \mathbf{T} -time bounded run of H
- ▶ Then ρ contains at most $|X| \cdot r_{\max} \cdot \mathbf{T}$ transitions guarded by an equality

Proof:

- ▶ Use bounded time hypothesis
- ▶ False for transitions not guarded by an equality



Bounding between two equalities

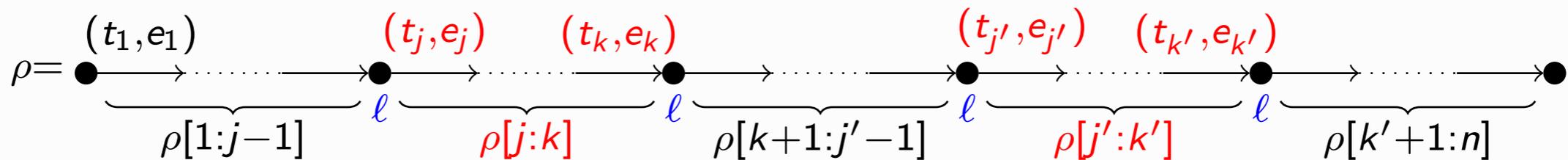


- no equality
 - bounded time
- shorten witness

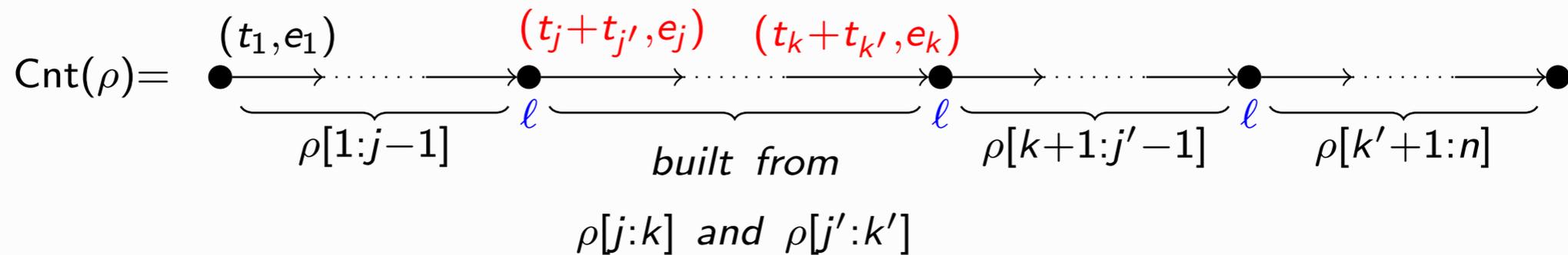
Bounding between two equalities

Key idea : The contraction operation

**if big enough:
cycles !**

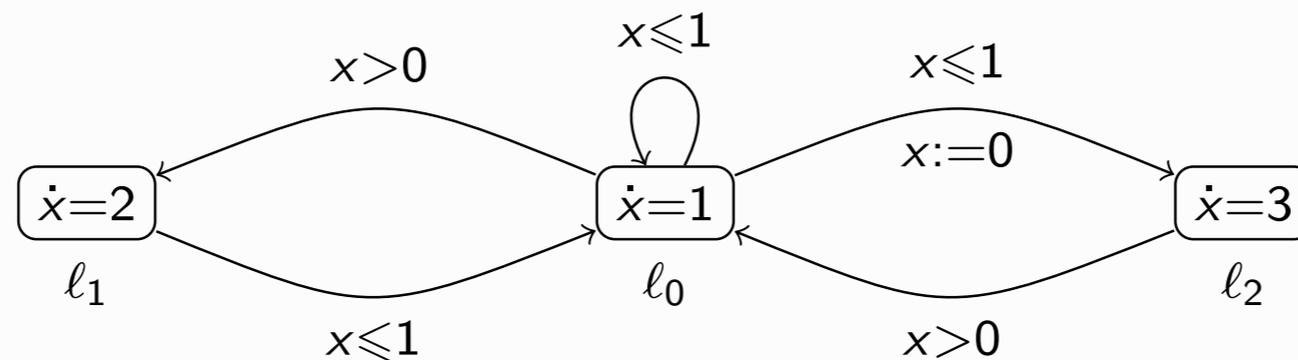


with $\rho[j:k] = \rho[j':k']$.



Bounding between two equalities

The contraction operation - A concrete example



$$\rho = (l_0, 0) \xrightarrow{.1, e_{00}} (l_0, .1) \xrightarrow{.3, e_{01}} (l_1, .4) \xrightarrow{.1, e_{10}} (l_0, .6) \xrightarrow{.2, e_{00}} (l_0, .8) \xrightarrow{.1, e_{01}} (l_1, .9)$$

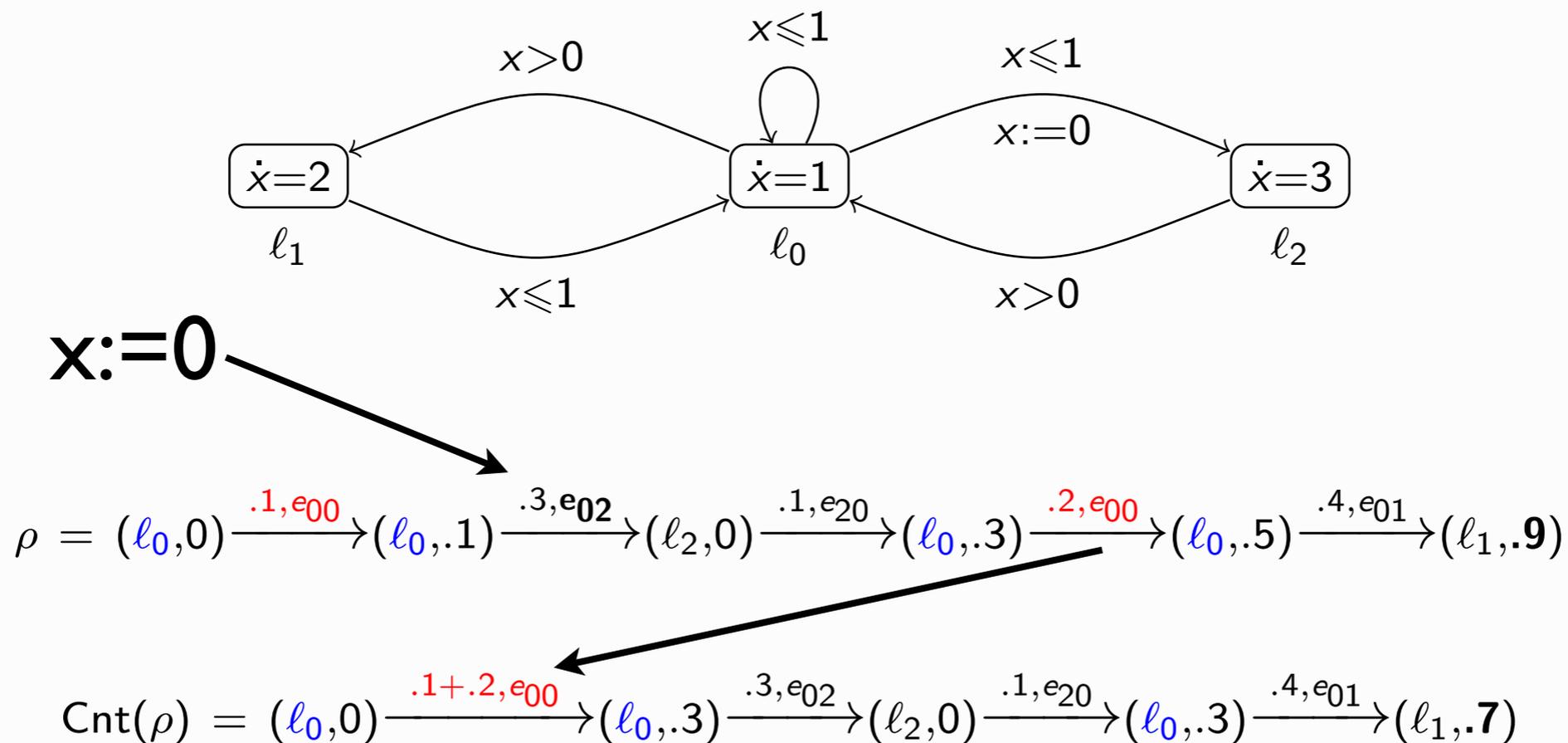
$$\text{Cnt}(\rho) = (l_0, 0) \xrightarrow{.1+.2, e_{00}} (l_0, .3) \xrightarrow{.3, e_{02}} (l_1, .6) \xrightarrow{.1, e_{20}} (l_0, .8) \xrightarrow{.1, e_{01}} (l_1, .9)$$

Advantages

- The new execution is shorter (in term of transitions).
- The value of the variables are preserved.

Bounding between two equalities

The contraction operation - Problem I



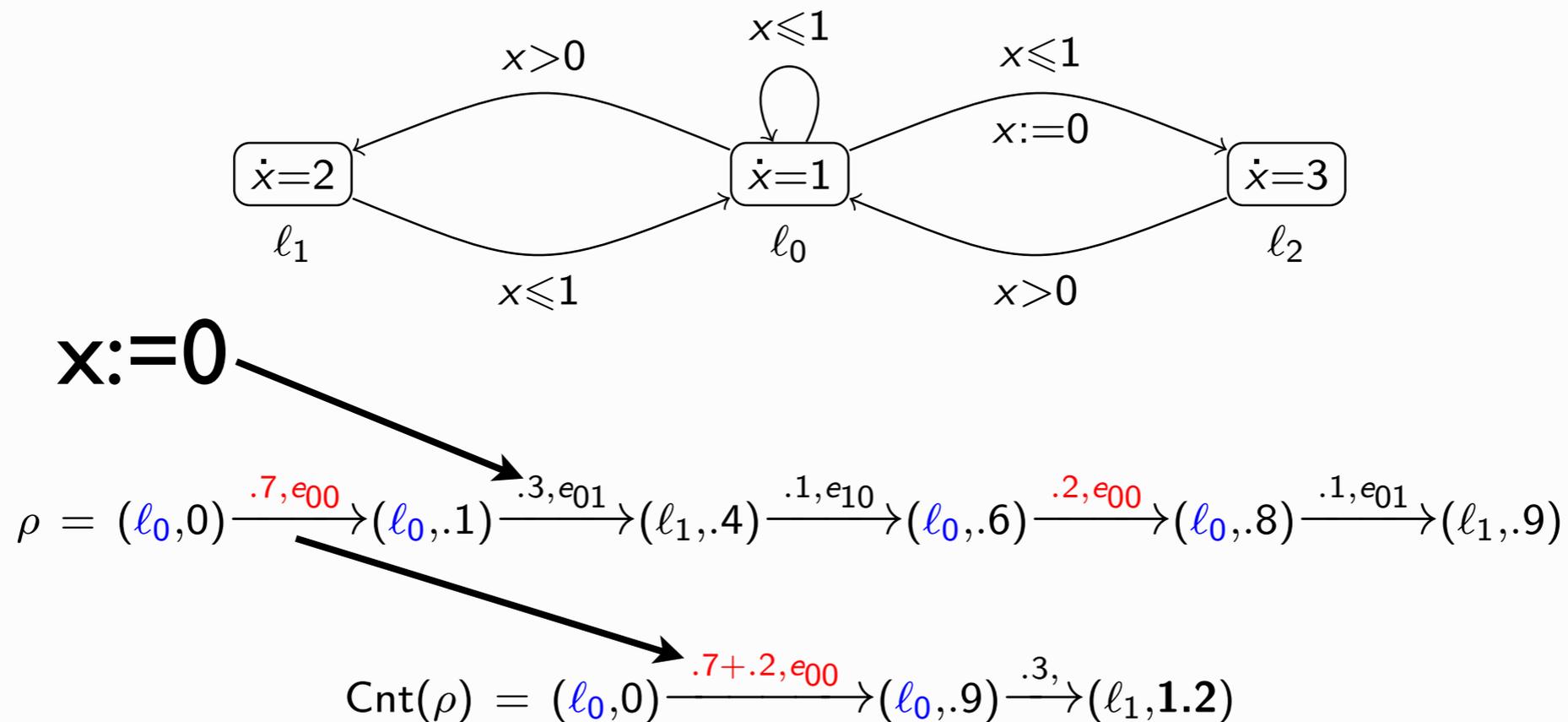
The value of the variables are not necessarily preserved...

Solution

Do not contract transitions occurring before and after the **last reset**.

Bounding between two equalities

The contraction operation - Problem II



$\text{Cnt}(\rho)$ is not necessarily a proper execution...

Solution

- Do not contract transitions occurring before and after the **first reset**.
- Ensure that the time spent along an execution is **short enough**.

Bounding between two equalities

Building a bounded witness

Ultimate Goal

Given ρ an execution of \mathcal{H} reaching l_1 from (l_0, x_0) within T time units.
We want to build ρ' such that :

- an execution of \mathcal{H} reaching l_1 from (l_0, x_0) within T time units,
- the number of transitions of ρ' is bounded by a constant depending only of \mathcal{H} and T .

- **Step 1 : Time-slicing**

We can slice ρ in pieces whose duration is at most $\frac{1}{R_{max}}$.

At most $R_{max} \cdot T$ pieces.

- **Step 2 : First and Last reset-slicing**

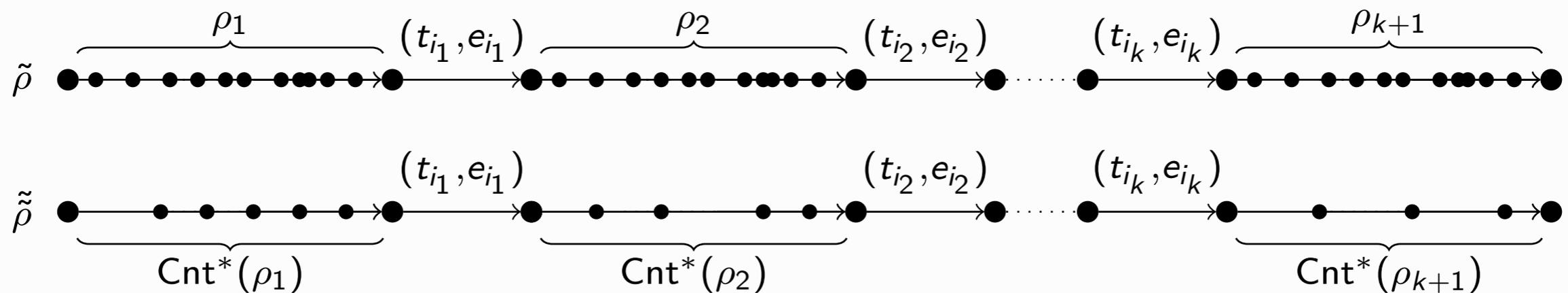
We can slice ρ according to the first and last resets of each clock.

At most $3 \cdot |X|$ pieces.

Bounding between two equalities

Building a bounded witness (continued)

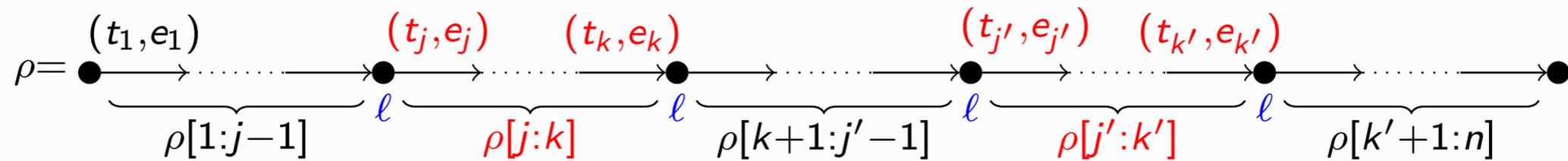
- **Step 3 : Application of the contraction :**



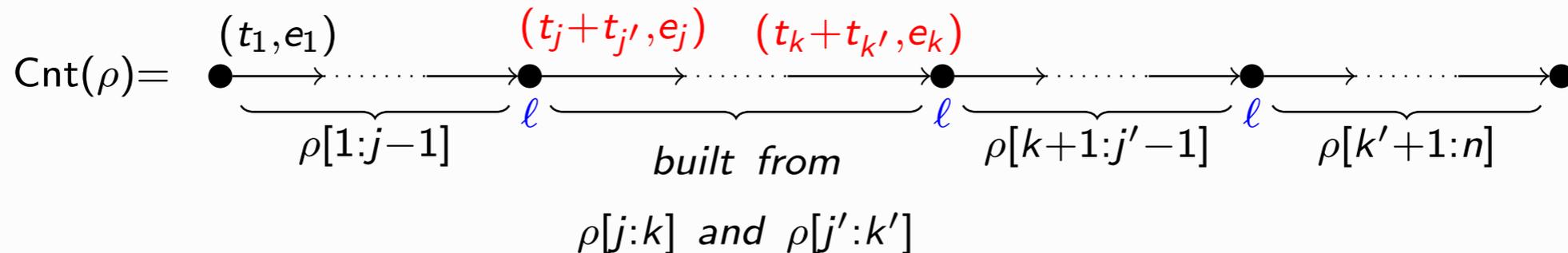
- $\tilde{\tilde{\rho}}$ is a proper execution of \mathcal{H} .
- The variables have the same value at the end of $\tilde{\rho}$ and $\tilde{\tilde{\rho}}$.
- The number of transitions in $\tilde{\tilde{\rho}}$ is bounded by a constant depending only of \mathcal{H} .

Bounding between two equalities

The contraction operation



with $\rho[j:k] = \rho[j':k']$.



$$|\text{Cnt}^*(\rho)| \leq |\text{Loc}| \cdot (2^{(|\text{Edges}|+1)} + 1),$$

where $\text{Cnt}^*(\rho)$ is the fixed point obtained by iterating $\text{Cnt}(\cdot)$ to ρ .

Decision procedure for TBR

Theorem

A **Goal** location is reachable in $RHA \oplus H$ within **T** time units
iff
it is reachable by a run ρ of **size bounded by** $K(H, T) \in \mathbb{N}$.

Corollary

Time bounded reachability can be reduced to the **satisfiability** of a **formula in the first order theory of the reals** encoding the existence of runs of length at most $K(H, T)$ that reaches Goal.

Decision procedure for TBR

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Corollary

Time bounded reachability can be reduced to the reachability of a
formula in the first order theory of real numbers
existence of a run of size bounded by $K(H, T)$.

Not based on a finite similarity quotient
(as it is usually the case)

Beyond RHA[⊕]

- ▶ Negative rates lead to **undecidability**
- ▶ Diagonal constraints lead to **undecidability**

Decidability frontier

	Reach	Time-bounded Reach
Timed automata		
Initialized RHA		
RHA \oplus	 (Stopwatch)	
RHA		 (neg. rates or diag.)
LHA		
Affine HA		
O-Minimal HA		?

Conclusion

- ▶ Reachability analysis of hybrid automata have proven **useful** (embedded systems-protocols-biological systems-etc.)
- ▶ **PhaVer** and **HyTech** implements symbolic semi-algorithm for LHA-RHA
- ▶ PhaVer implements rectangular approximations of affine HA

Details: Laurent Doyen, Tom Henzinger, Jean-François Raskin. **Automatic Rectangular Refinement of Affine Hybrid Systems**. In FORMATS'05, Lecture Notes in Computer Science 3829, pp. 144--161, Springer-Verlag, 2005.

- ▶ **Time-bounded** reachability is **decidable** for RHA^{\oplus} (\exists stopwatch HA)

Details: Thomas Brihaye, Gilles Geeraerts, Laurent Doyen, Joel Ouaknine, Jean-François Raskin and James Worrell. **On reachability for Hybrid Automata over Bounded Time**. In ICALP'11, LNCS 6756, Springer, pp. 416-427, 2011.